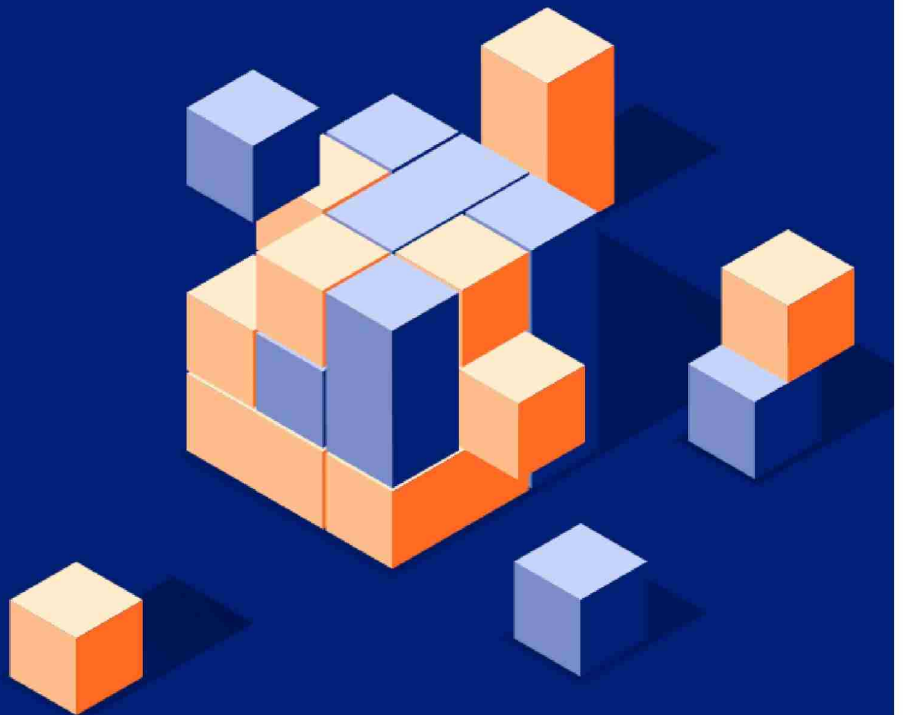


Abuse in Care Royal Commission of Inquiry



Quantitative Analysis of Abuse in Care

September 2023



Abuse in Care
Royal Commission of Inquiry

D**T** Consulting

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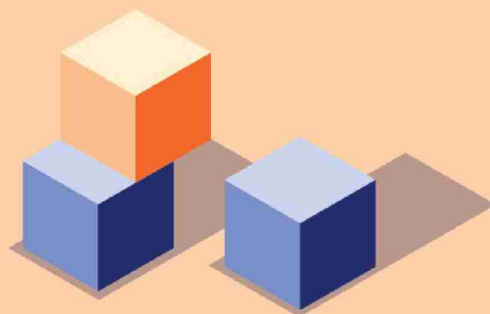
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Overview



1. Executive Summary

The Abuse in Care Royal Commission of Inquiry is an exhaustive investigation into abuse suffered by tamariki, rangatahi and adults in state and faith-based care in Aotearoa New Zealand between the years 1950 and 1999. Accounts from 2,329 survivors, who registered with the Inquiry, form the heart of this report.

The work DOT Loves Data (DOT) has completed to date is an important component of the Royal Commission of Inquiry. It provides a quantitative analysis of survivor accounts. It also ensures a consistent and objective analysis of all survivor accounts. In the initial stages of the Royal Commission, Inquiry staff manually reviewed witness statements and transcripts of interviews, identifying patterns and employing quantitative analysis on coded data. DOT's involvement focused on quantitative analysis of survivor accounts using natural language processing and aimed to bridge any data gaps and bolster data integrity.

We were able to analyse factors such as survivor ethnicity, gender, LGBTQIA+ identity, mental distress and disabilities against the types of institution, care settings and abuse. Results from DOT's analysis quantified insights, including the prevalence and types of abuse reported in the survivor accounts and the personal characteristics or factors that might have influenced their care experience.

Of the survivors who spoke with the Inquiry, our analysis showed that the number of incidents of reported abuse peaked in the 1970s, coinciding with the period of highest population in state and faith-based care facilities. The most common pathways into care were a state requirement due to troublesome behaviour, voluntary placement by parents due to a lack of support or insufficient financial means, or admission into a faith-based school.

Some survivor cohorts reported especially high levels of abuse, with a disproportionately high representation of Māori, Deaf, survivors living with a disability and Pasifika. Our analysis showed that Māori experienced higher care admission rates relative to the general population (Māori make up 44% of survivor accounts), while Māori and Pasifika experienced higher levels of physical abuse. Similarly, survivors who are Deaf or living with a disability experienced elevated abuse rates compared to those who are not Deaf or living without a disability.

The age and gender of survivors also played a significant role in the abuse they were subjected to. Survivors aged 10 - 14, for example, reported the highest levels of sexual and physical abuse by their caregivers. In terms of gender, 59% of survivor accounts were male, 40% female and 1% gender diverse, non-binary or other. Male survivors reported higher levels of physical and solitary abuse, while female survivors reported higher levels of sexual, emotional, neglect and medical abuse.

Survivors' life outcomes were significantly and detrimentally impacted by their abuse in care, relative to the general population. 83% of survivors reported suffering mental distress challenges during or following their time in care. 29% of survivors had been imprisoned and 10% of survivors had joined a gang.

The repercussions of the abuse and neglect suffered by survivors are evident within the analysis. In the pages that follow, we will analyse the survivor accounts to quantify and explain, as best as the data allows, why people were taken into care, what abuse took place and the life-long impacts of that abuse on survivors.

2. Introduction

The Abuse in Care Royal Commission of Inquiry is investigating abuse against tamariki, rangatahi and adults in care between 1950-1999. The Royal Commission has collected information from 2,329 survivors with accounts of abuse, noting that the data also takes into account survivor reports of their experience in care after 1999. We further note that our analysis relates only to these 2,329 survivor accounts and are conscious that there will be survivors who did not speak to the inquiry and for whom we hold no information.

In the initial stages of the Royal Commission, insight from the data was found by manually reading witness statements and private interviews by researchers searching for themes and patterns, and through quantitative analysis of coded data.

DOT is one of New Zealand's leading providers of data science, data analysis and data visualisation. We employ a range of PhD level researchers and data scientists to ensure we are able to solve and distil complex problems into actionable insights. DOT was engaged to provide a quantitative analysis of the data collected from the survivor accounts as defined by its terms of reference. Using natural language processing, our role was to assist the Royal Commission to understand any existing data gaps, to improve the data integrity, and explain the data gaps in an easy to understand way. As the data collection techniques were improved throughout the collection of the survivor accounts, DOT's remit was to ensure consistent analysis was captured across all accounts, based on both written and audio transcripts, in relation to features such as ethnicity, iwi, gender, LGBTQIA+, mental distress and disability. We also ensured that every survivor account was considered in detail and objectively.

3. Overview of the Data

3.1. Accounts in Scope - Survivors with Accounts

This analysis includes the experiences of **2,329 survivors with accounts**. These survivors included in the analysis met the following criteria:

- They are *survivors of abuse*
- They are *people with accounts* that registered with the abuse in care Royal Commission (individuals for whom the Abuse in Care Royal Commission holds personal information with some detail on their abuse while in care). The accounts include survivor interviews, private sessions and written accounts - throughout the report this is referred to as “survivor accounts”.

Based on guidance from the Abuse in Care Royal Commission, we have only included survivors with accounts in this analysis. Throughout this report, these survivors with accounts are referred to as ‘survivors’.

3.2. Data Sources: What did DOT receive?

We received an initial 200 survivor accounts as a sample data set so we could begin to analyse for distributions of missing information, scope audio and text data for size, complexity and completeness, prototype simple methods for extracting missing information and review our original estimates of the project timings. In total, we received 4,998 survivor-related documents from the Royal Commission, which consisted of witness statements, written or audio transcripts and other supporting documents that were filtered to include survivors with accounts.

We used our original sample analysis to build out our analysis against the 2,329 survivors with accounts supplied by the Royal Commission.

3.3. Data Collection Quality

We have highlighted some of the quality issues in the data and the limitations of this report due to the gaps in the data and data quality. Of the survivor accounts collected from survivors of abuse in care, there is a variety of data captured on both the demographics of the survivor and also the nature of the abuse endured.

The witness statements were collected by a range of interviewers and as time went on there was increased identification of the required information to be captured on the survivor by the interviewer. Due to this, the information collected from interviewer to interviewer varied

greatly. Over time, the information collected also increased meaning that earlier witness statements contained less information than the more recent witness statements.

To combat the missing data and gaps in information required, we used natural language processing (NLP) to extract information from the free text fields, to fill in missing data fields and populate the dataset. Further information on this process can be found in detail in the methodology section.

3.4. Methodology

An overview of the process that we undertook is shown in the figure below:

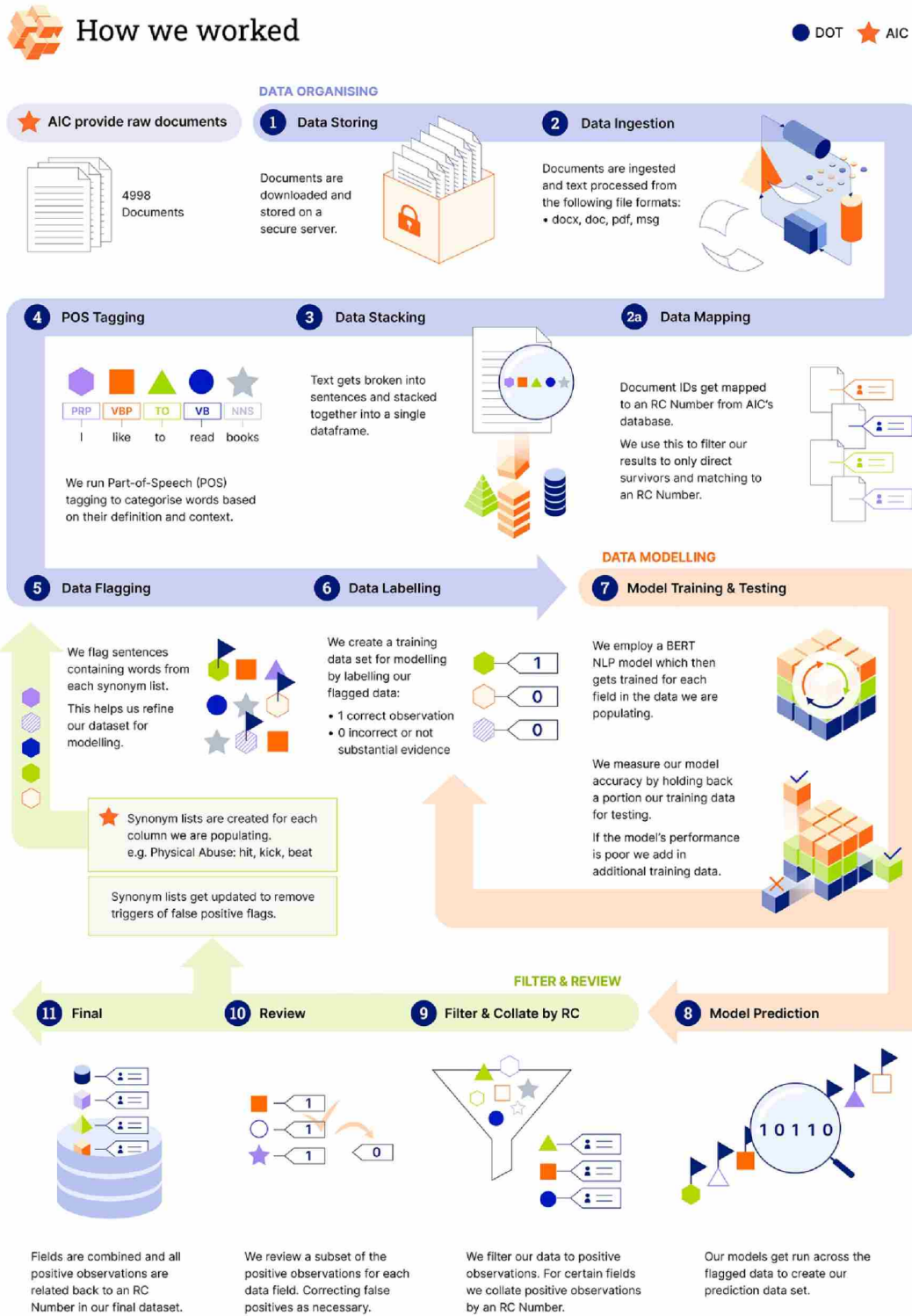


Figure 1: Methodology - How We Worked

3.5. Data Completeness

Prior to integrating the enriched data into the existing Customer Relationship Management (CRM) system, DOT carried out a thorough and robust review of the new data variables produced. We manually reviewed 100% of 26 out of 29 attributes and undertook a random sample of between 25-50% for the remaining four attributes. These were: Physical Abuse, Sexual Abuse, Emotional Abuse and Institution.

We raised with AIC that, due to very tight timeframes, DOT was not able to manually check 100% of the positive cases for these four attributes. The agreed standard with AIC was a precision of 90% where precision is the true positive rate. This was achieved in the samples based on independent review by AIC.

DOT reviewed this with caution and took a conservative approach to ensure only true positives were counted and therefore integrated into the CRM. This ultimately means there will be undercounting due to the nature and quality of the data, which ensures that the counts noted throughout this report are baseline minimums.

3.6. Data Enhancement

In the figure below, we show the population of demographic variables in the final data set. These fields were largely complete prior to this work but if absent and adequate evidence was available these were further populated by DOT.

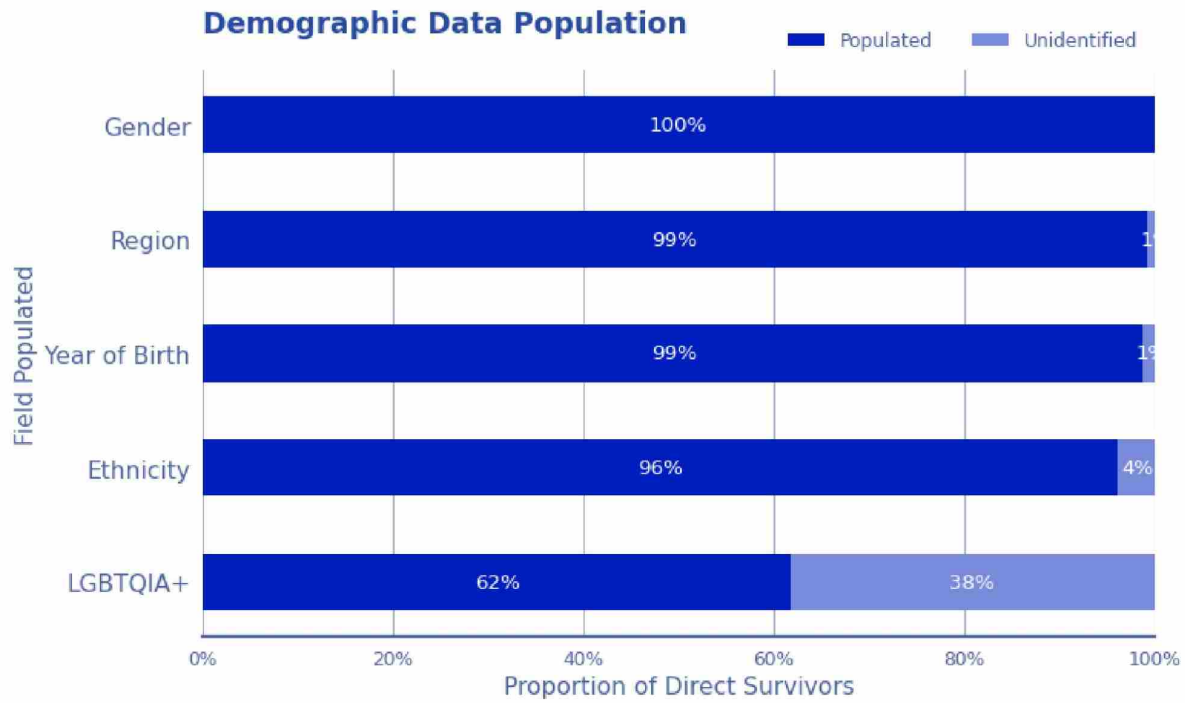


Figure 2: Demographic Data Population

Based on the work undertaken by the Abuse In Care Royal Commission of Inquiry and DOT’s review, we have been able to capture survivor demographic variable data for gender (100%), region currently lived in (99%), year of birth (99%), Ethnicity (96%) and members of the LGBTQIA+ community (62%).

We assessed these for their completeness and accuracy. The graph below depicts the number of survivor counts by field. For the fields shown, enhancements were implemented only when positive observations of the variable were found; otherwise, they were left blank. For instance, the 'Disability - Blind' field is populated solely by people who are blind, rather than having a yes/no option. Our approach follows a conservative methodology, which might result in undercounting. However, discerning whether these values represent true or false negatives is challenging.

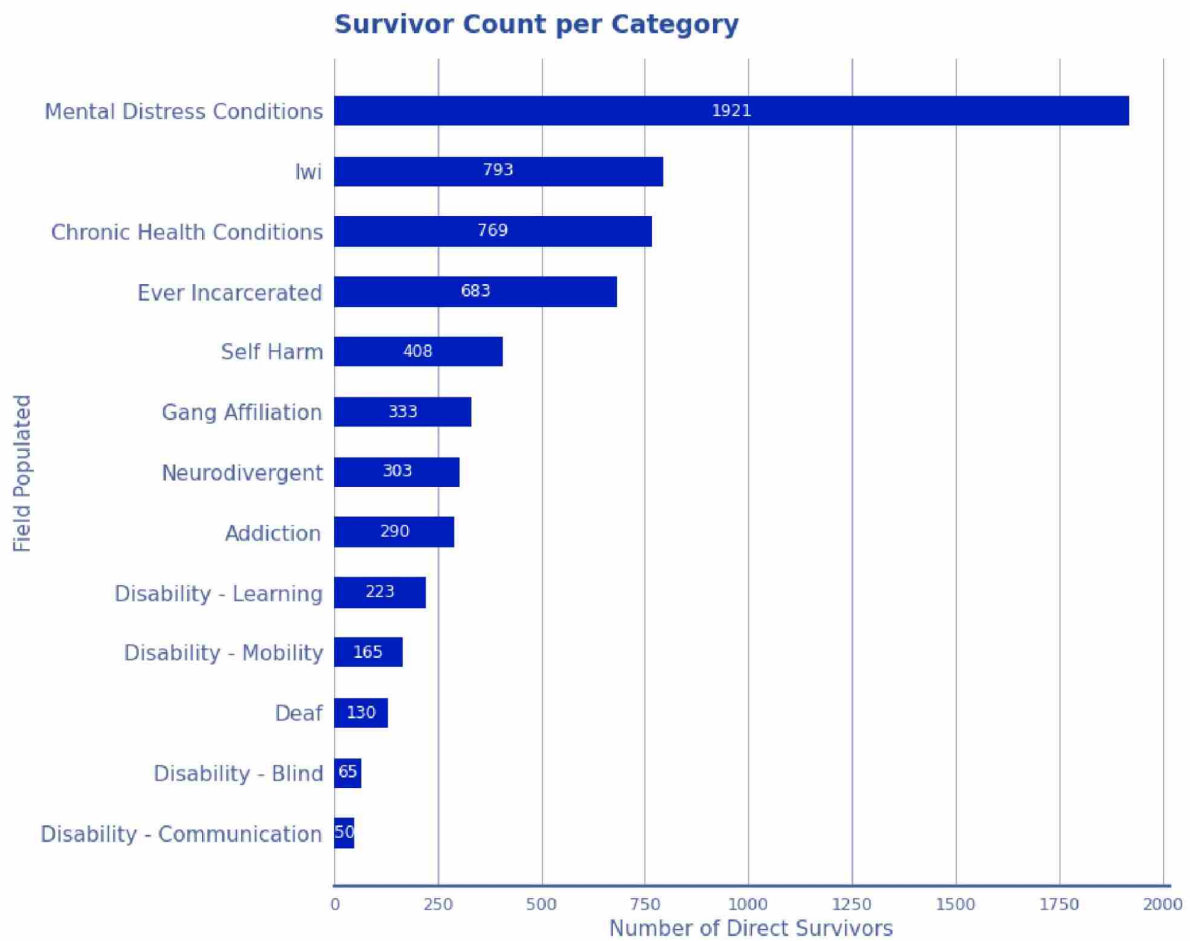


Figure 3: Survivor Count per Category

The below graph shows the abuse data that was collected through this process. Due to this enrichment of the dataset, we are now able to carry out a quantitative analysis with certainty and accuracy when describing the nature and extent of abuse in care throughout this report.

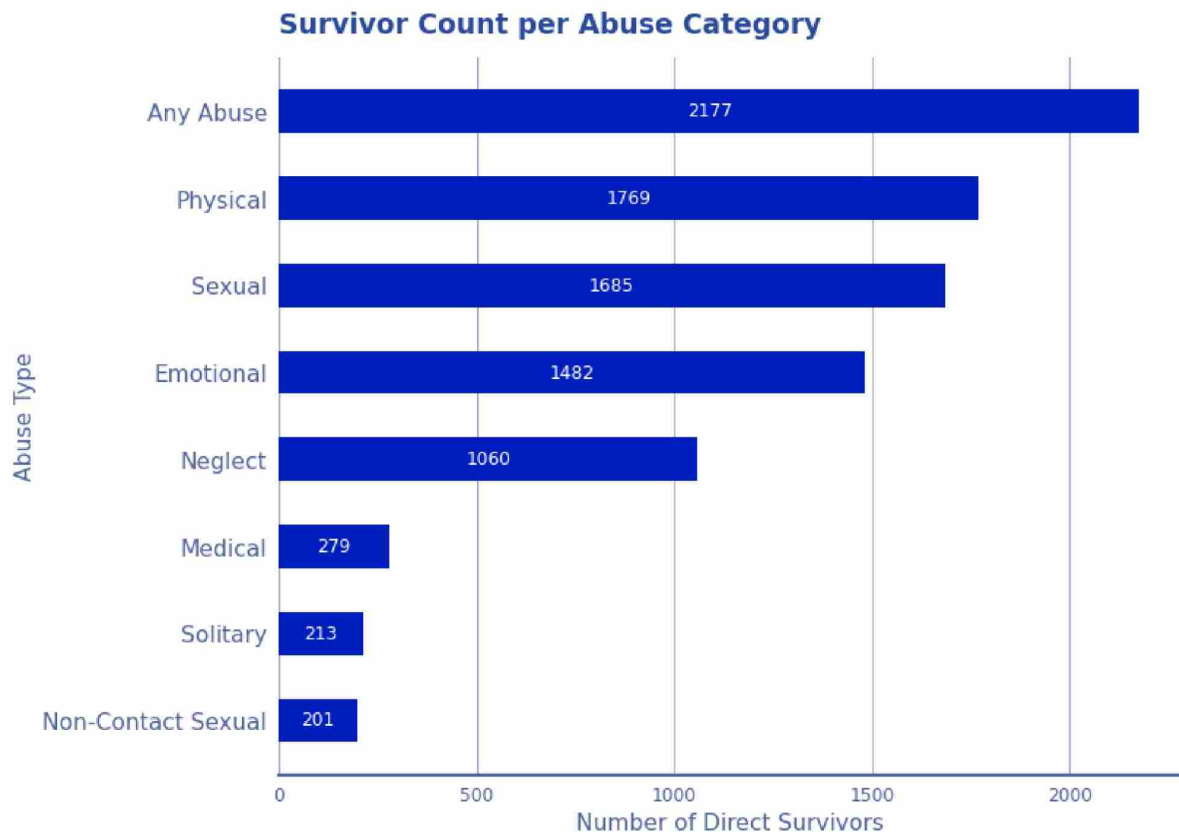


Figure 4: Survivor Count per Abuse Category

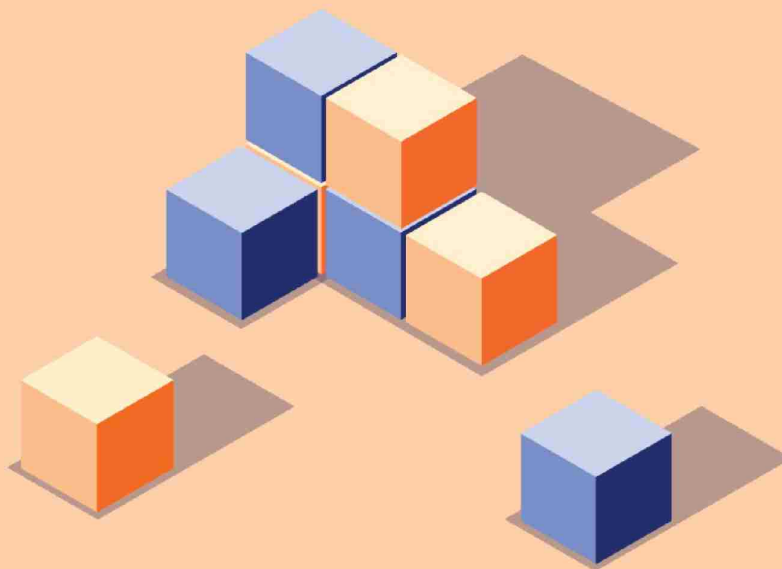
3.7. Confidentiality

For confidentiality purposes, some sections or variables may remove certain ethnicities or genders for the purpose of ensuring the confidentiality of the survivors. For example, where 'gender diverse, non-binary or other' count is fewer than six, it has been suppressed for confidentiality. For some analysis on ethnicity, the Asian, MELAA and Other ethnicity groups have been grouped so they can be reported on. This has been done to maintain confidentiality where the individual type counts were too low, '.C' has been used in replacement for confidentiality of the actual figures .

4. Key Insights



Key Insights

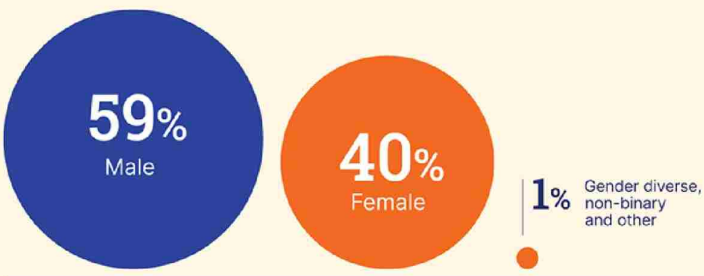




Key Insights

GENDER

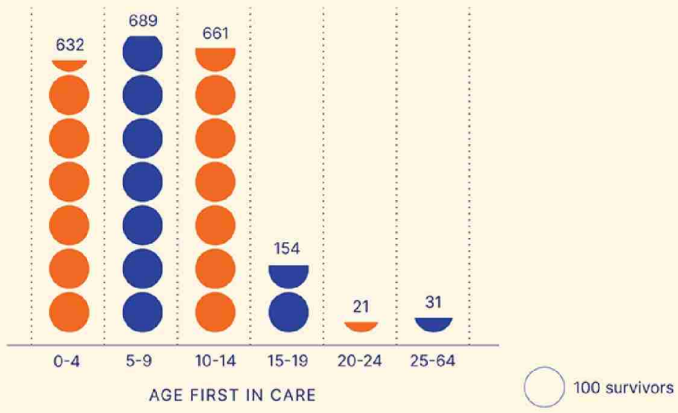
Of the 2329 survivor accounts, 1378 or 59% were male, 932 or 40% were female, and 10 or 1% were gender diverse, non-binary or other.



The percentages refer to the proportion of people for whom we hold data, with 9 survivor accounts not including confirmation of gender.

AGE

The survivor's age when first in care was identified for 2,188 (94%) of survivors. This includes survivors whose age, when first entering into care, was imputed from their setting.

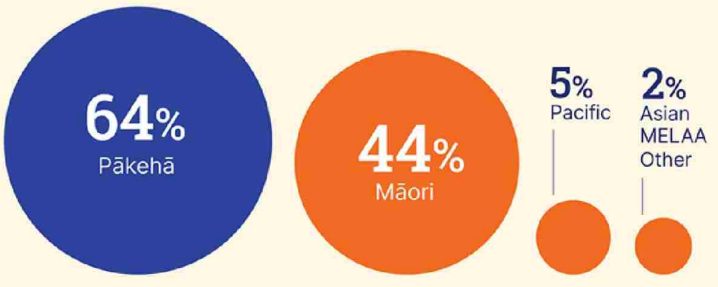


ETHNICITY

Of the 2,329 survivor accounts, 2,233 provided ethnic identity.

The largest proportion of survivors identified as either Pākehā or Māori. 1,483 or 64% of survivors identified as Pākehā, while 44% identified as Māori.

113 or 5% of survivors identified as Pasifika, 7 survivors identified as Asian and one survivor identified as Middle Eastern, Latin American or African (MELAA). 53 survivors or 2% identified as Other ethnicities.



Unidentified: 4%

We note that survivors could identify with multiple ethnicities based on their family heritage, meaning the sum total of ethnicities is greater than the 2,233 survivor accounts.



Key Insights

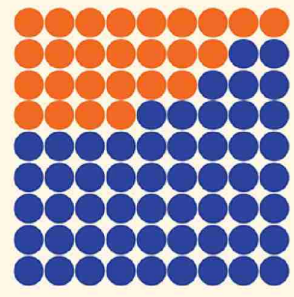
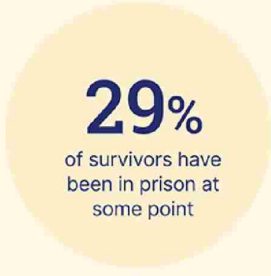
GANGS

For the purposes of our analysis we defined a *gang member* as a patched or prospective gang member. Of the 2329 survivor accounts, 229 survivors confirmed they were a member of a gang. Another 104 survivors stated they had a family or whānau member in a gang. Four survivors directly stated they were not in a gang. 1,992 survivors did not state or were not asked about gang membership and therefore we hold no information about them.



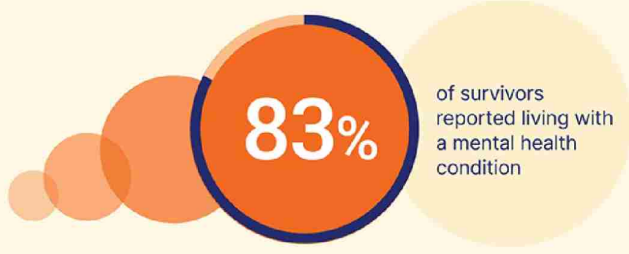
EXPERIENCE OF INCARCERATION

Of all survivor accounts, 29% of survivors reported having been in prison at some point in their life (683 out of 2329 survivors).



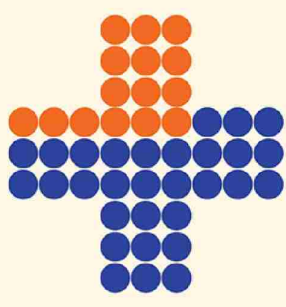
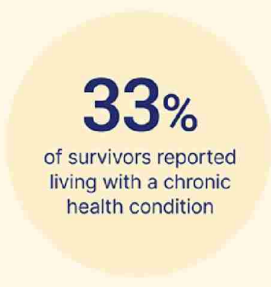
MENTAL HEALTH

83% of survivors reported living with mental distress at some stage during or following their state or faith-based care.



CHRONIC HEALTH CONDITIONS

Of all survivor accounts, our analysis demonstrates that 33% of survivors reported living with a chronic health condition at some stage in their life (769 out of 2329).





Key Insights

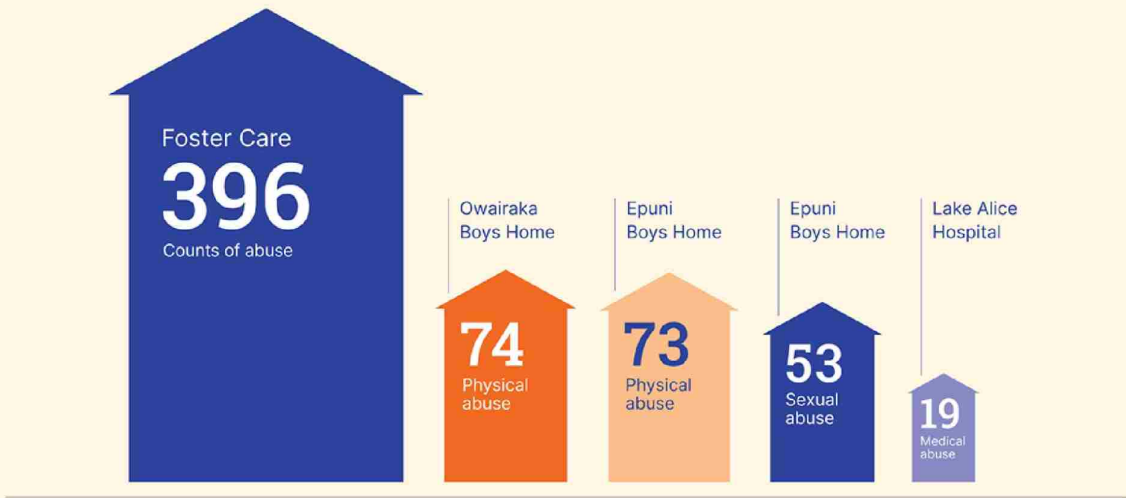
ABUSE TYPE

Total survivor counts by type of abuse.



INSTITUTIONS

The graphic below shows the counts of abuse by type and institution. Foster care has the highest counts of abuse recorded across all abuse types except medical abuse, with 396 survivors reporting some form of abuse. Owairaka Boys Home and Epuni Boys home have the next highest counts of physical abuse at 74 and 73 respectively. 53 survivors reported sexual abuse at Epuni Boys home, while 19 survivors reported medical abuse at Lake Alice Hospital.

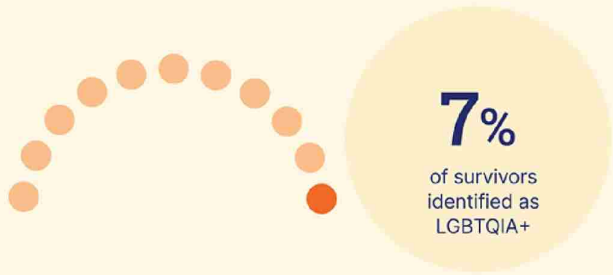




Key Insights

LGBTQIA+

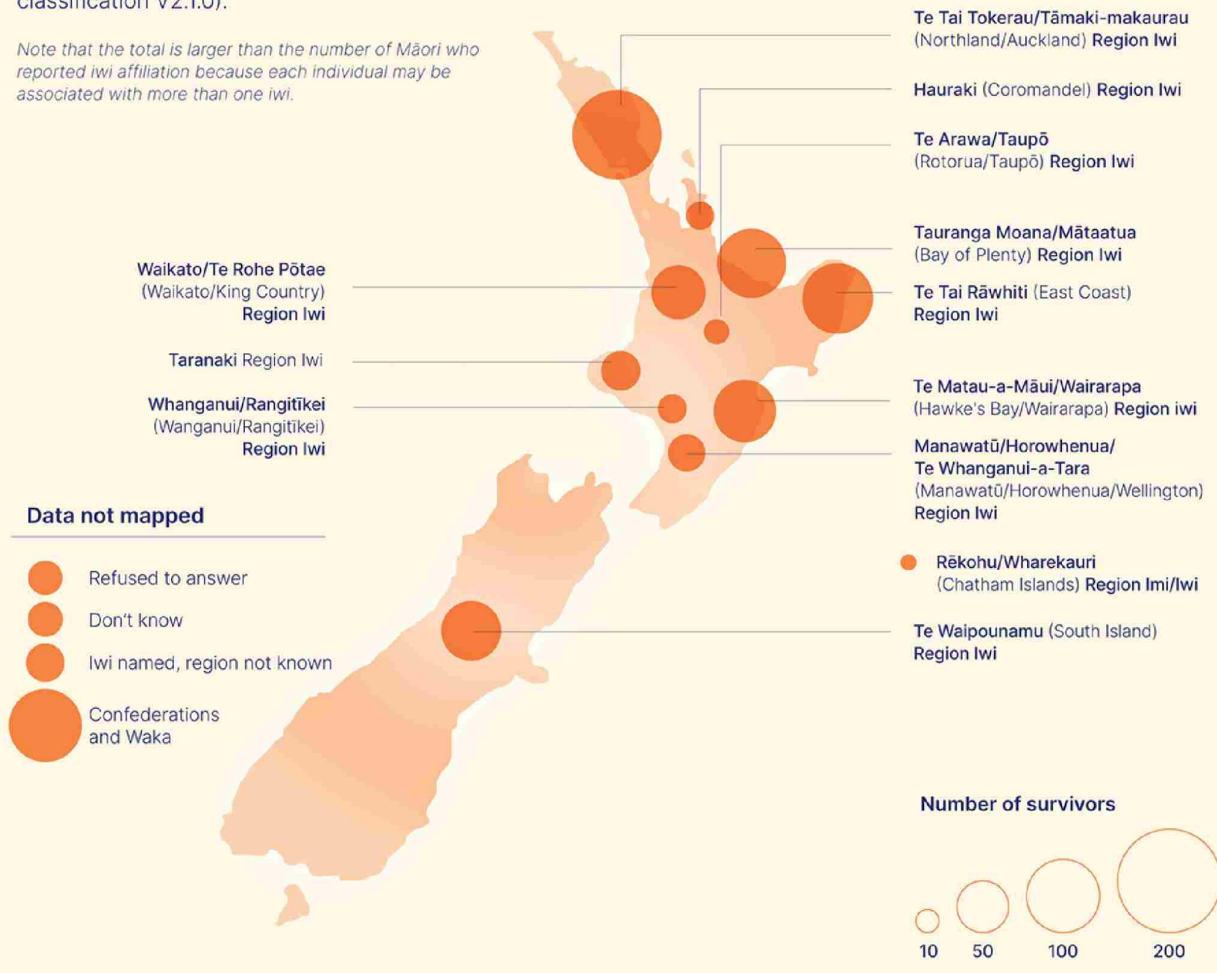
Of the 2,329 survivor accounts analysed, 1,398 identified their sexual orientation. 162 or 7% of survivors identified as LGBTQIA+. 1,236 or 53% of survivors identified as not being LGBTQIA+, while 41 or 2% of survivors preferred not to identify their sexual orientation.



IWI

Of the 1,018 Māori survivors with accounts, 74% (755) reported an affiliation to at least one iwi. Here are the counts of iwi by the broadest Statistics New Zealand iwi categorisation (Iwi and iwi-related groups statistical classification V2.1.0).

Note that the total is larger than the number of Māori who reported iwi affiliation because each individual may be associated with more than one iwi.

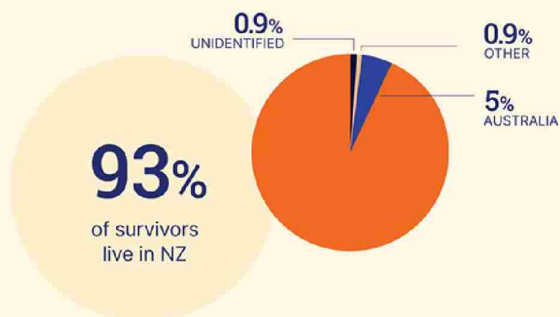




Key Insights

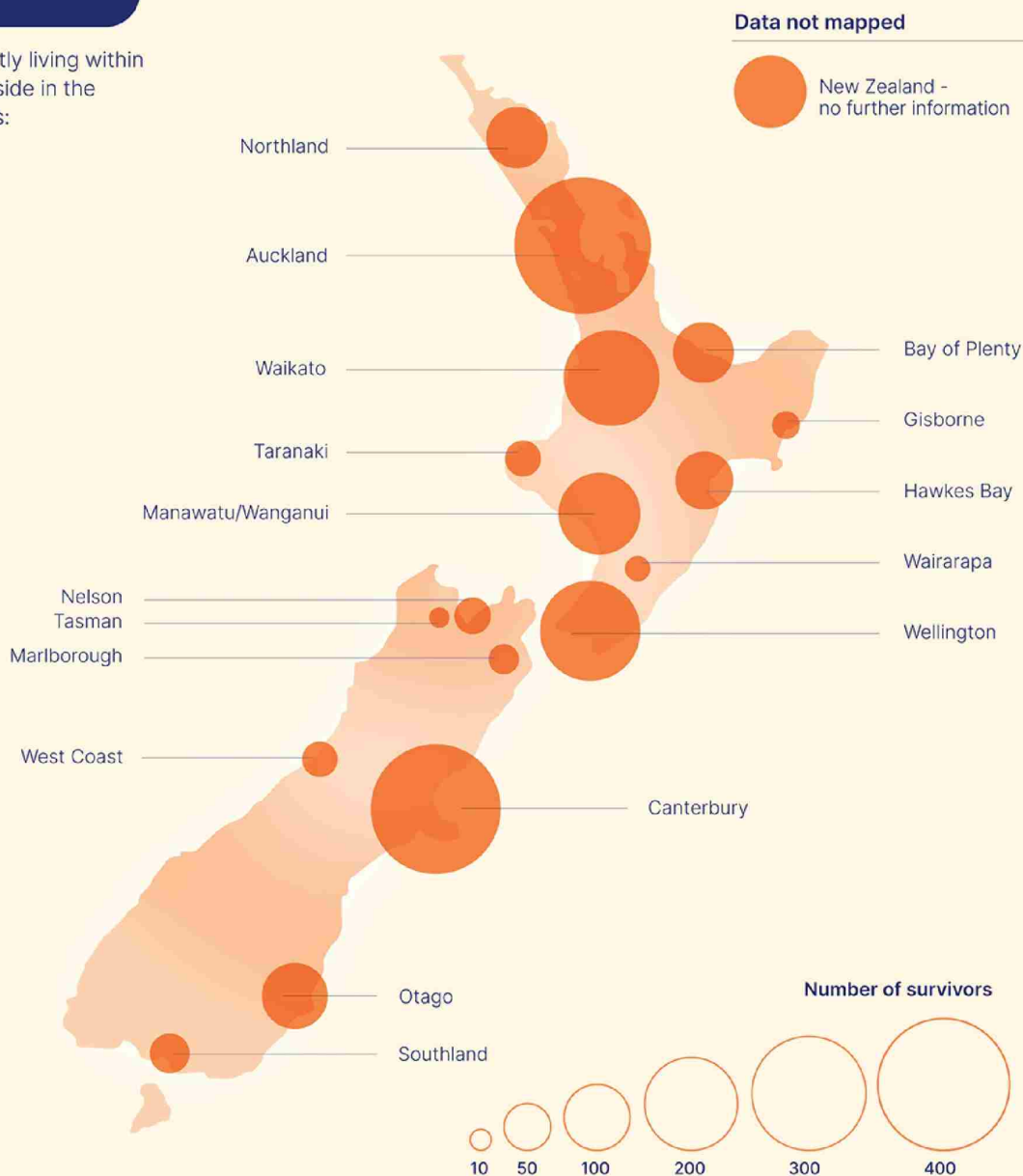
COUNTRY

On this page we've detailed the reported region and country of survivors based on each current survivor address or location where the witness statement is taken.



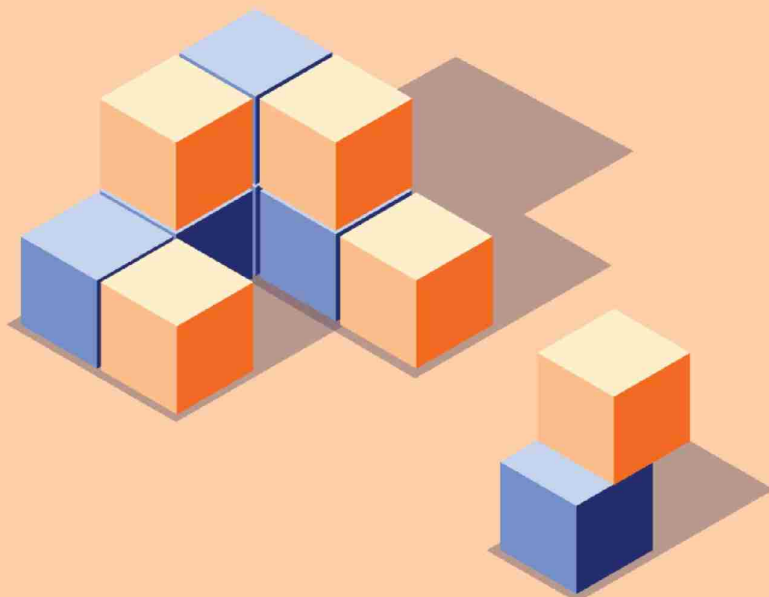
REGION

Survivors currently living within New Zealand reside in the following regions:





Demographic Analysis



5. Demographic Analysis

In this section of the report, DOT analysed the different demographic variables and cross-examined these to gain further insight of the vulnerability and propensity of certain demographic groupings.

5.1. Current Age of Survivors

When analysing the current age of survivors, close to half (47%) of survivors are aged between 50 and 64, with two-thirds (68%) being aged between 45 and 69. The largest grouping of survivors is aged between 60 and 64, but the age counts decline significantly after this bracket.

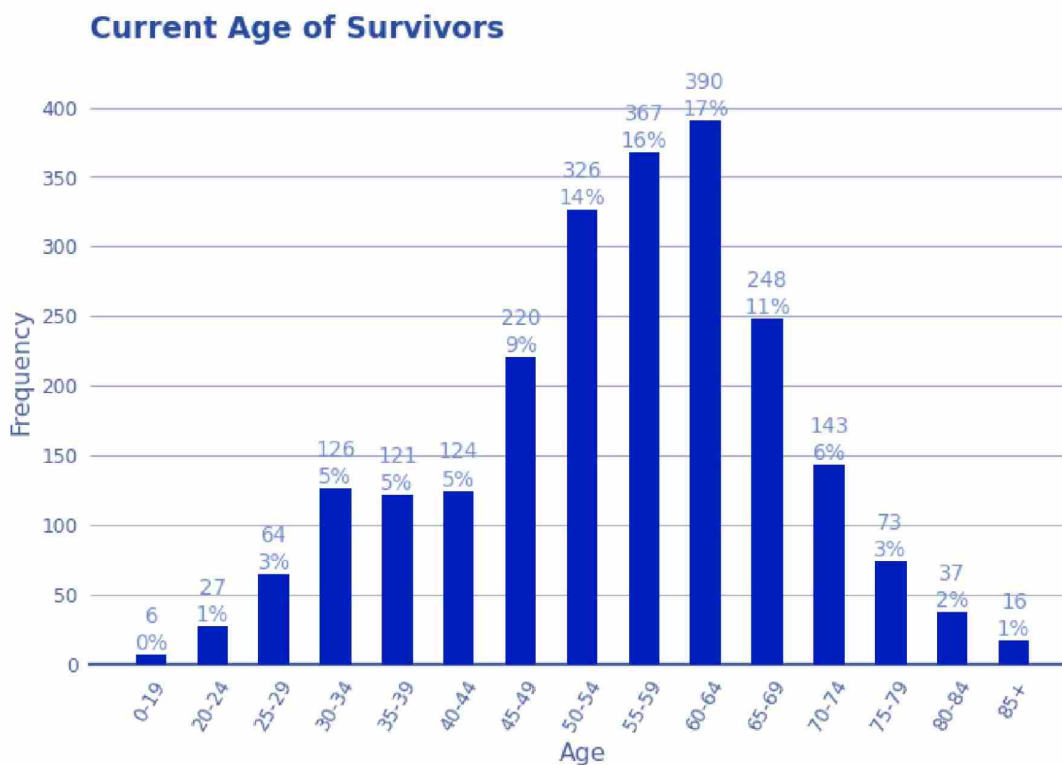


Figure 5: Number of Survivors by Age Group

Please note the highest and lowest age brackets are wider than the rest, as they have been combined from other brackets for privacy purposes.

5.2. Current Age by Gender

For survivors' current age by gender, there are higher counts of males. This is reflective of 59% of total survivors being male, while 40% were female.

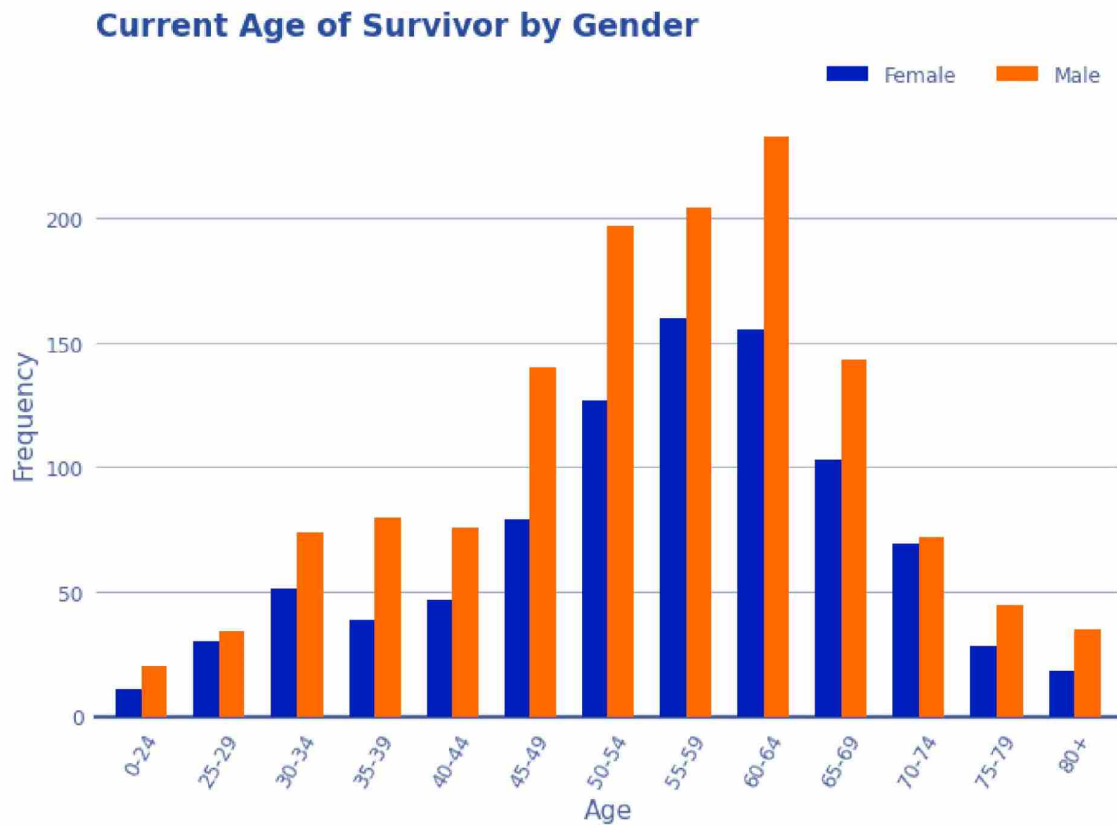


Figure 6: Current Age of Survivor by Gender

Please note that for this section only males and females are represented as the other gender types have been suppressed for confidentiality reasons.

5.3. Ethnicity by Gender

For each of the three main ethnicities, males reported higher levels of abuse. This reflects the higher count of male survivors in care.

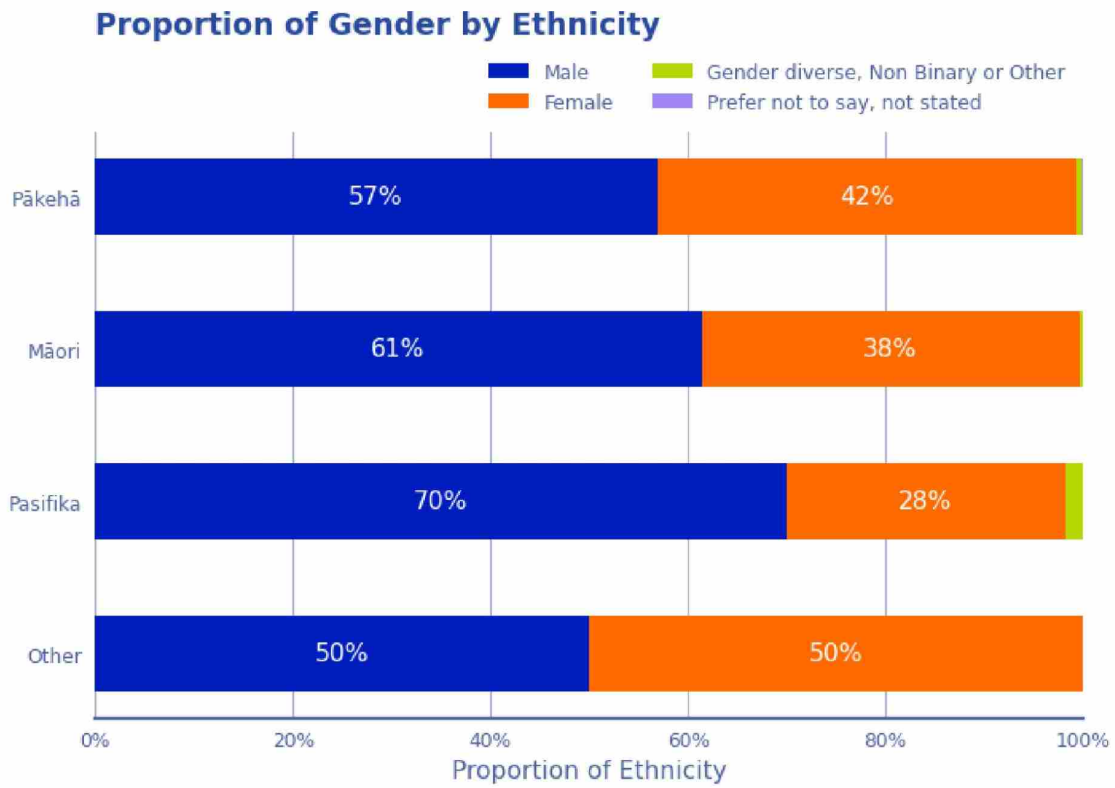


Figure 7: Proportion of Gender by Ethnicity

5.4. Current Age by Ethnicity

For current age by ethnicity, Māori were most prevalent in the 50-59 age bracket, Pasifika were most prevalent in the 40-49 age bracket, and Pākehā most prevalent between 60-69.

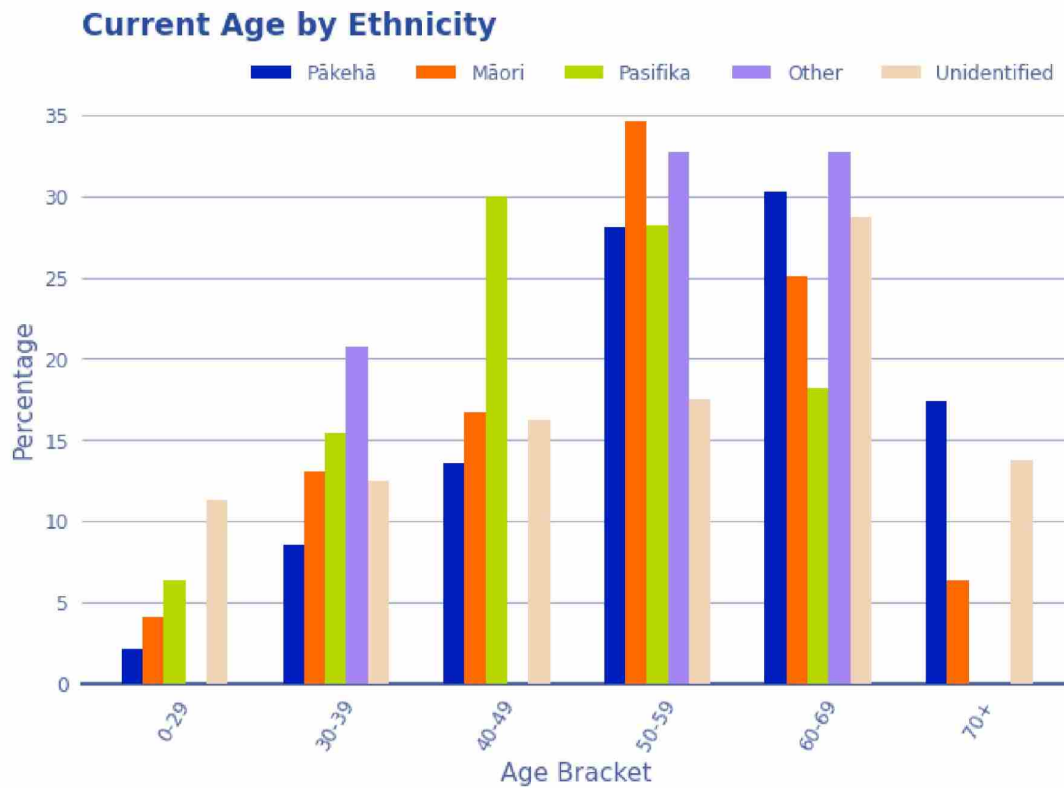


Figure 8: Current Age by Ethnicity

Please note that some counts for Pasifika and Other ethnicities were suppressed for confidentiality.

5.5. Deaf or Disability by Age

The age distribution of survivors who are Deaf or living with a disability closely reflects that for all other survivors.

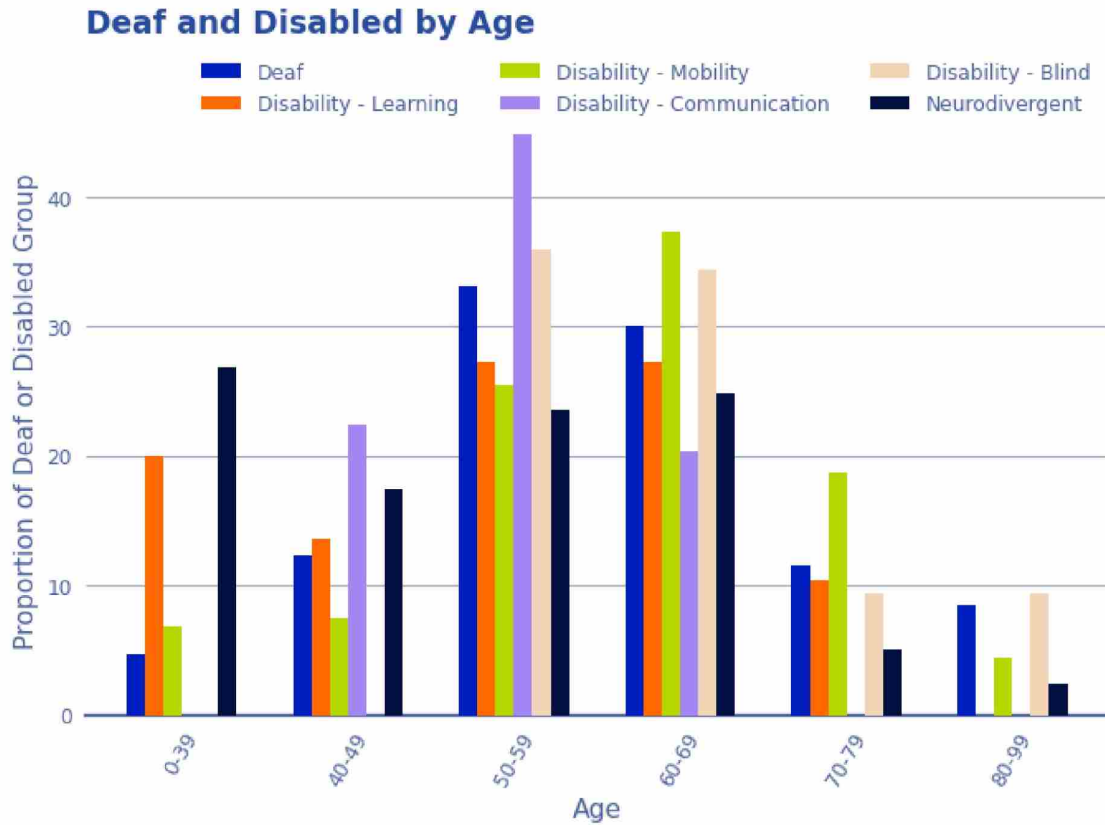


Figure 9: Deaf and Disabled by Age

Note that some values for the Blind, learning, and communication disabilities were suppressed for confidentiality.

5.6. Deaf or Disability by Ethnicity

The graph below shows what percentage of each ethnicity are Deaf, living with a disability, or living without a disability. Across each ethnicity, the percentage of survivors who are Deaf, disabled or without a disability is consistent across the groupings.

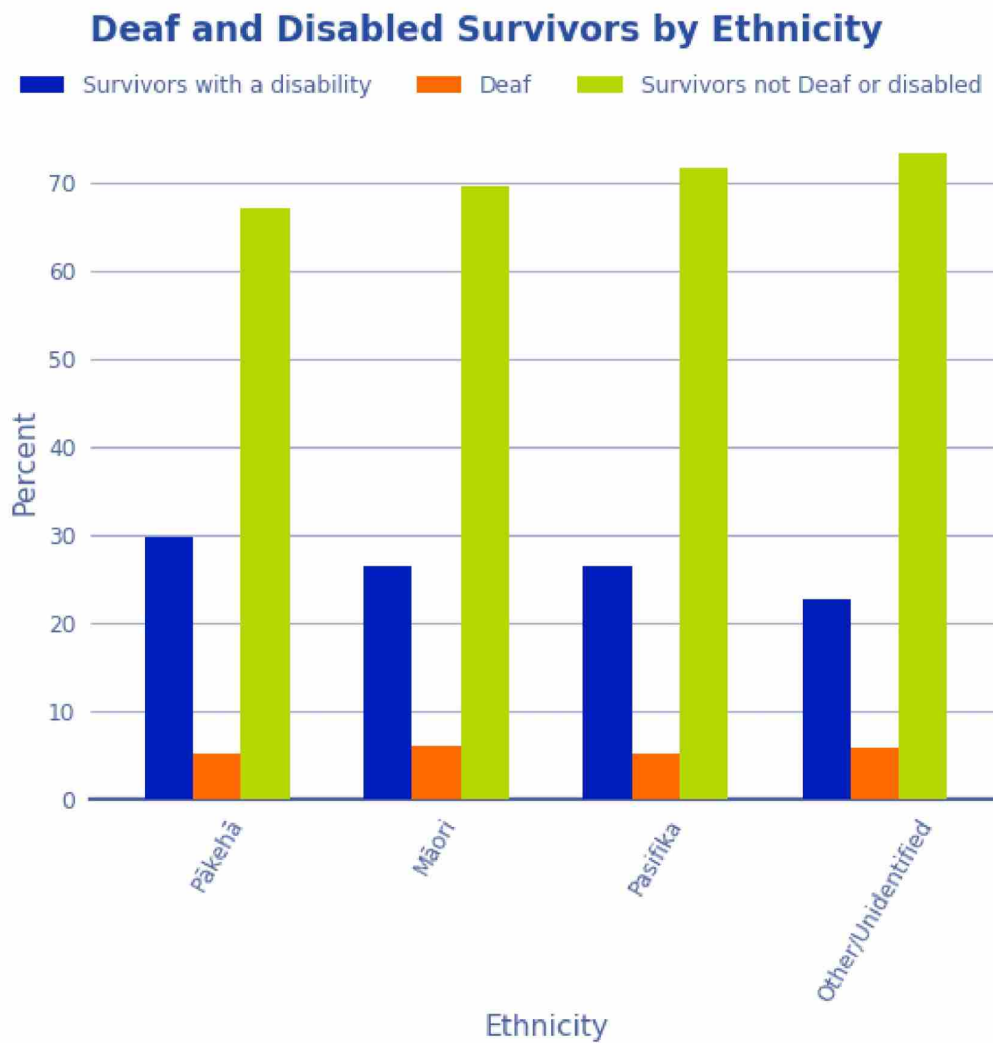


Figure 10: Deaf and Disabled Survivors by Ethnicity

The Other and Unidentified ethnicities were combined for confidentiality.

5.7. Experience of Incarceration

Of the 2,329 survivor accounts, 683 (29%) survivors were incarcerated at some point during their life.

5.7.1. Incarceration by Gang Affiliation

Out of these individuals who had been incarcerated at some point during their life, 145 are or were gang members. 50 had or have gang affiliations through whānau, and 488 have no gang affiliations.

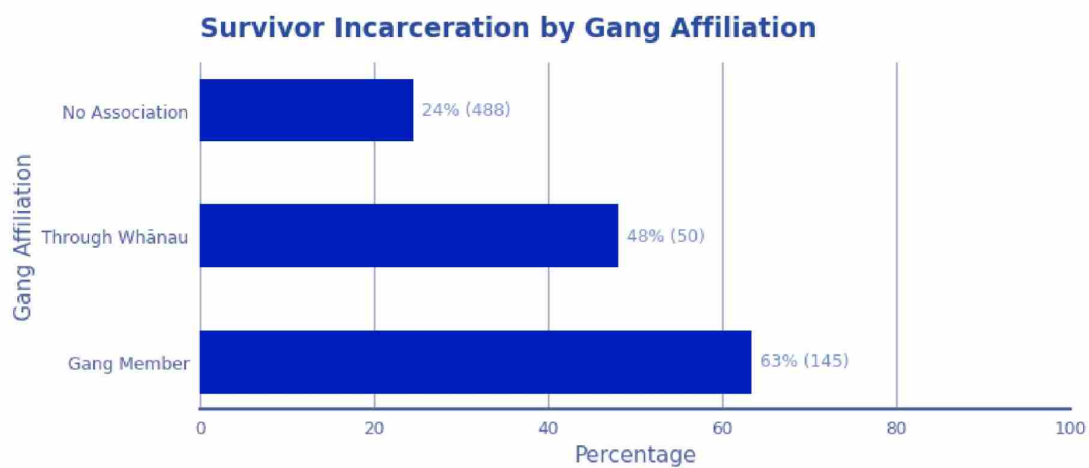


Figure 11: Survivor Incarceration by Gang Affiliation

5.7.2. Incarceration by Ethnicity

The chart below details the percentage of survivors who have been incarcerated, by ethnicity. 42% of Māori, 35% of Pasifika, and 24% of Pākehā survivors reported being incarcerated at some point during their life.

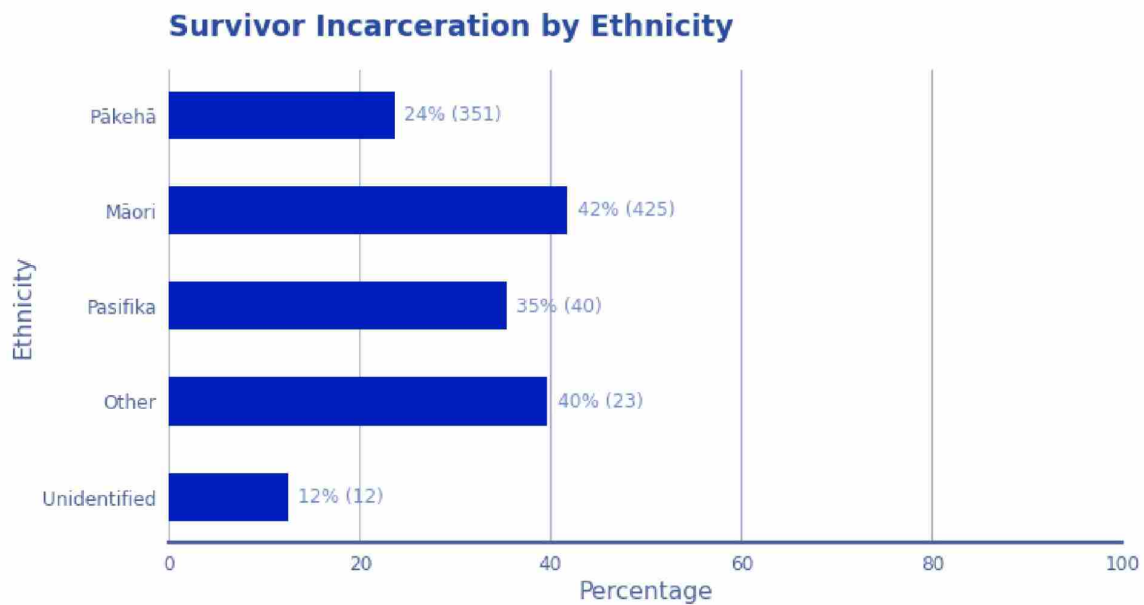


Figure 12: Survivor Incarceration by Ethnicity

MELAA, Asian and Other ethnicities were combined into “Other” for confidentiality.

5.7.3. Incarceration by Gender

The chart below details the proportion of the survivor population who have been incarcerated, by gender. Of these, 40% of male survivors and 13% of female survivors reported being incarcerated at some point during their life.

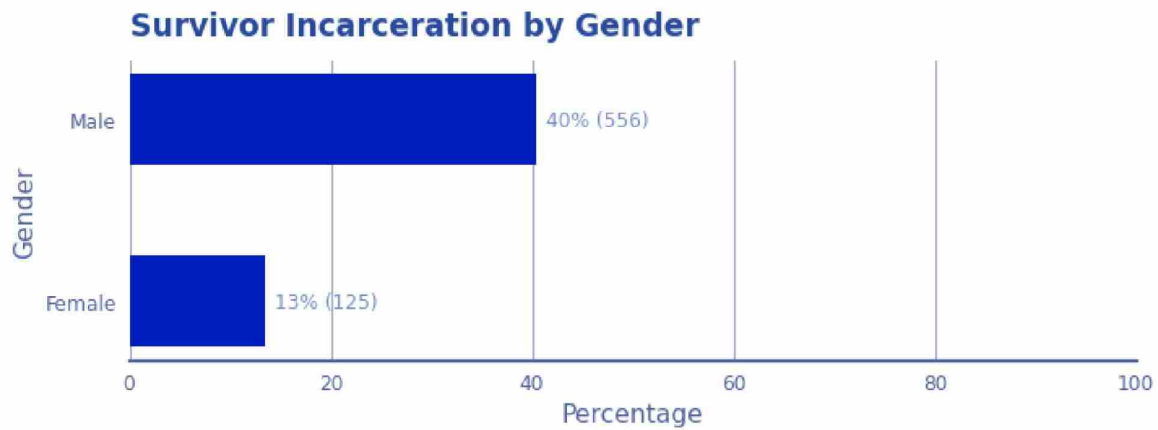


Figure 13: Survivor Incarceration by Gender

The “Prefer not to say” and “Gender diverse, Non Binary or Other” categories were suppressed for confidentiality.

5.7.4. Incarceration by Deaf and Disability

The chart below shows the percentage of survivors who have been incarcerated at some point in their life and who also are Deaf or have a disability. Of the survivors ever incarcerated, 36% are Neurodivergent, 35% have a learning disability, and 31% are blind.

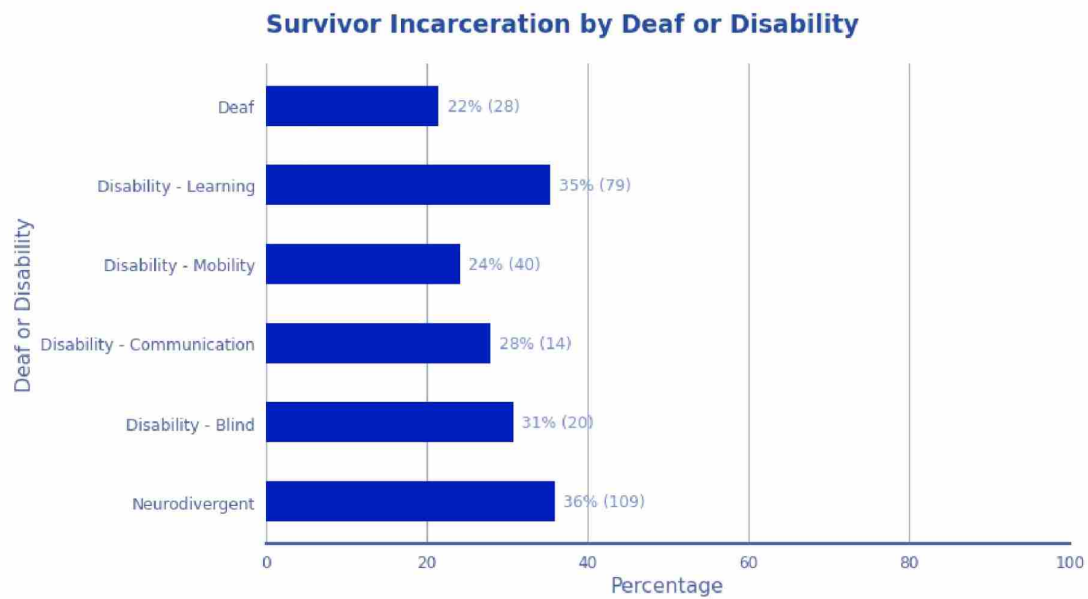
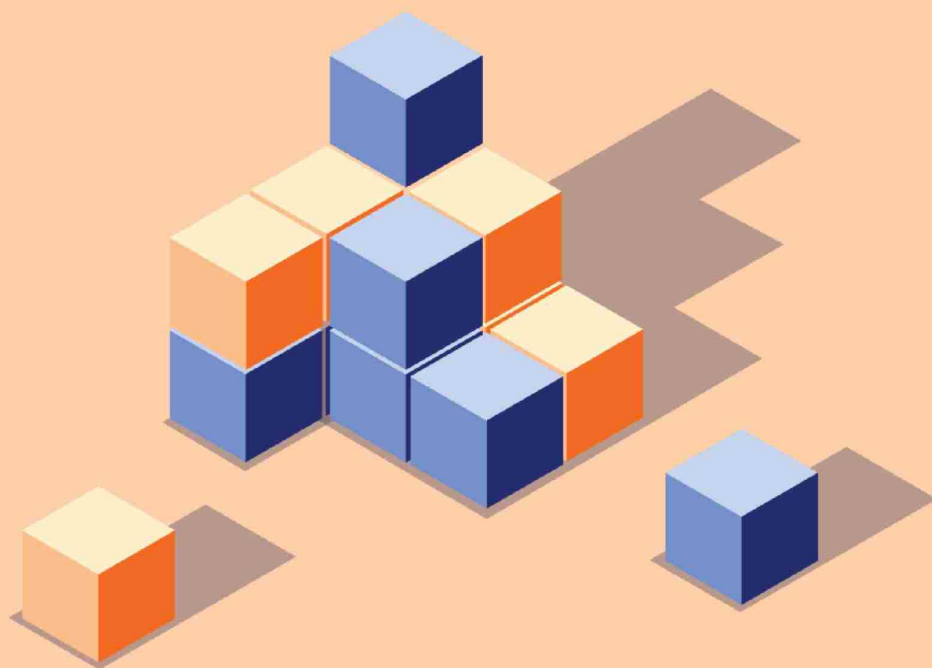


Figure 14: Survivor Incarceration by Deaf or Disability



Pathways into Care



6. Pathways Into Care

The survivor pathway into care is complex to determine. Often survivors do not know for certain why they were placed in state or faith-based care or prefer not to talk about it. Additionally, no data variable was consistently collected on survivors' pathways into care.

However, from the data we have identified pathways into care for 16% of survivors using natural language processing.

6.1. Findings for Pathways into Care

Almost half (46%) of survivors, for whom the pathway into care information was identified, were placed into care by state requirement. This can stem from a family being reported to (and investigated by) Child, Youth and Family Services (CYFS) due to suspected neglect or an unsafe environment for the children, or other reasons such as "misbehaviour" of a child or adolescent - for example, truancy from school, stealing or running away from home.

Close to a quarter (24%) of survivors with a pathway reported being placed into care due to their "parents' voluntary placement" with state or faith-based organisations.

Other typical pathways include:

- Enrolment into faith-based boarding or day schools (9%).
- Placement into a psychiatric care setting (7%) due to suspected mental health problems or hard-to-manage behaviour.
- An abrupt change in family circumstances (4%), leading to a forced or voluntary placement of a child or children with CYFS or another state or faith-based organisation. For example, this may have been due to the death of a parent, illness or divorce.

6.2. Categories of Pathways Into Care

Survivors' pathways into care were classified using the following categories:

State requirement - Typically through the Court or CYFS for either:

- Care and protection issues: all types and forms of abuse and neglect at home
- Youth justice-related issues: usually lower-level offending like stealing food, car theft

- Issues related to 'delinquency': not under proper/adequate control, acting out, playing up, delinquent, indigent, getting into trouble, naughty, mischief, promiscuous, sexual promiscuity, running away from home or truancy/wagging from school.
- Reasons unknown to the survivor.

Parents' voluntary placement - Voluntary placement of a newborn or a child into CYFS care usually due to:

- Unwanted child/result of violence
- Not managing financially (poverty) or a lack of adequate support
- Not able to manage child behaviour.

Tragedy - Parental death/divorce/mental illness, abrupt change in circumstances leading to either no one left to care for a child or the remaining parent(s) not being able to take care of the child.

Faith-based school - Voluntary enrolment of a child into a faith-based school (boarding or day school).

Psychiatric - Admission to psychiatric settings due to:

- Troubled behaviour
- Homosexuality
- Depression
- Other conditions.

Disability - Admission to disability settings, including special and residential schools.

Not in full time care - Survivor does not have a pathway into care, because they were never in a full-time state or faith-based care, but they reported abuse at:

- Church - by influential figures in church
- State (day) school
- State health services

Faith community - Born into or brought as a child into one of the Faith communities

Unwed pregnancy - Pregnancy (pathway into unmarried mothers' homes, particularly for single, young women and girls).

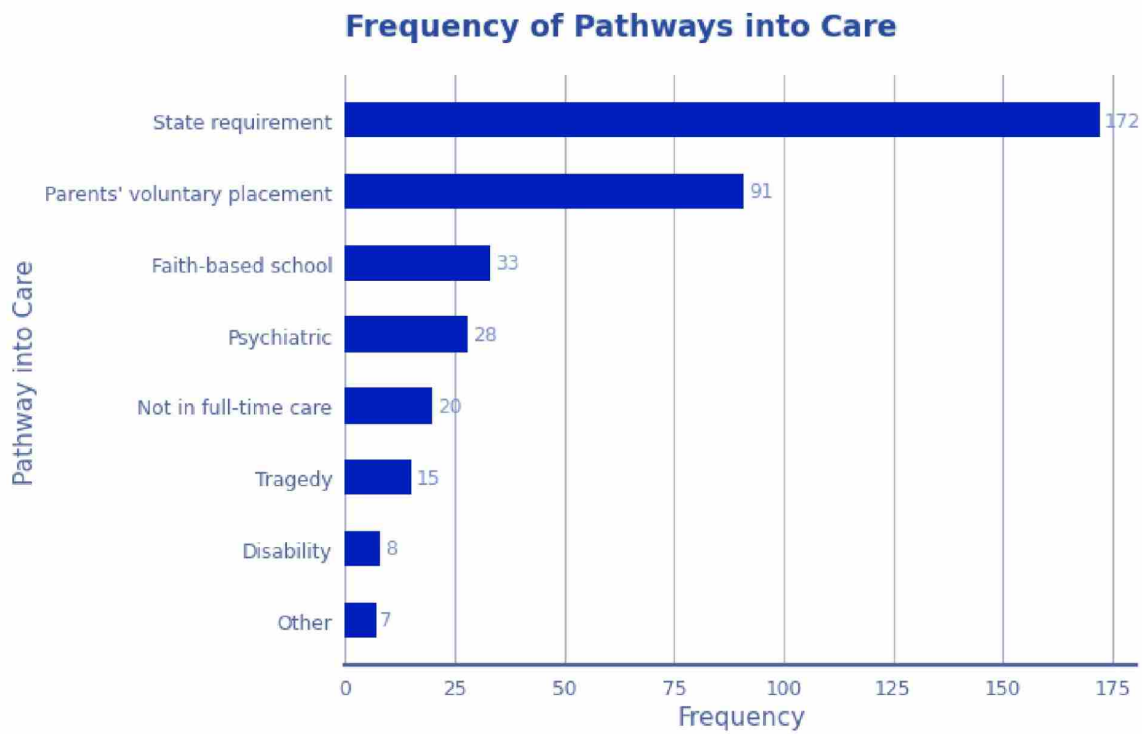


Figure 15: Frequency of Pathways into Care

The 'Other' category includes both survivors who got into care through Unwed Pregnancy and those from Faith Communities; the categories are merged for confidentiality.

6.3. Pathway into Care by Decade of Abuse

The below analysis looks at typical pathways into care by the decade in which the reported abuse in care occurred. Imputed values for the decade of abuse are used, where the actual information is missing (see appendix for details about the imputation methodology).

In the figure below, any values less than 6 are suppressed, “unknown” category is excluded.

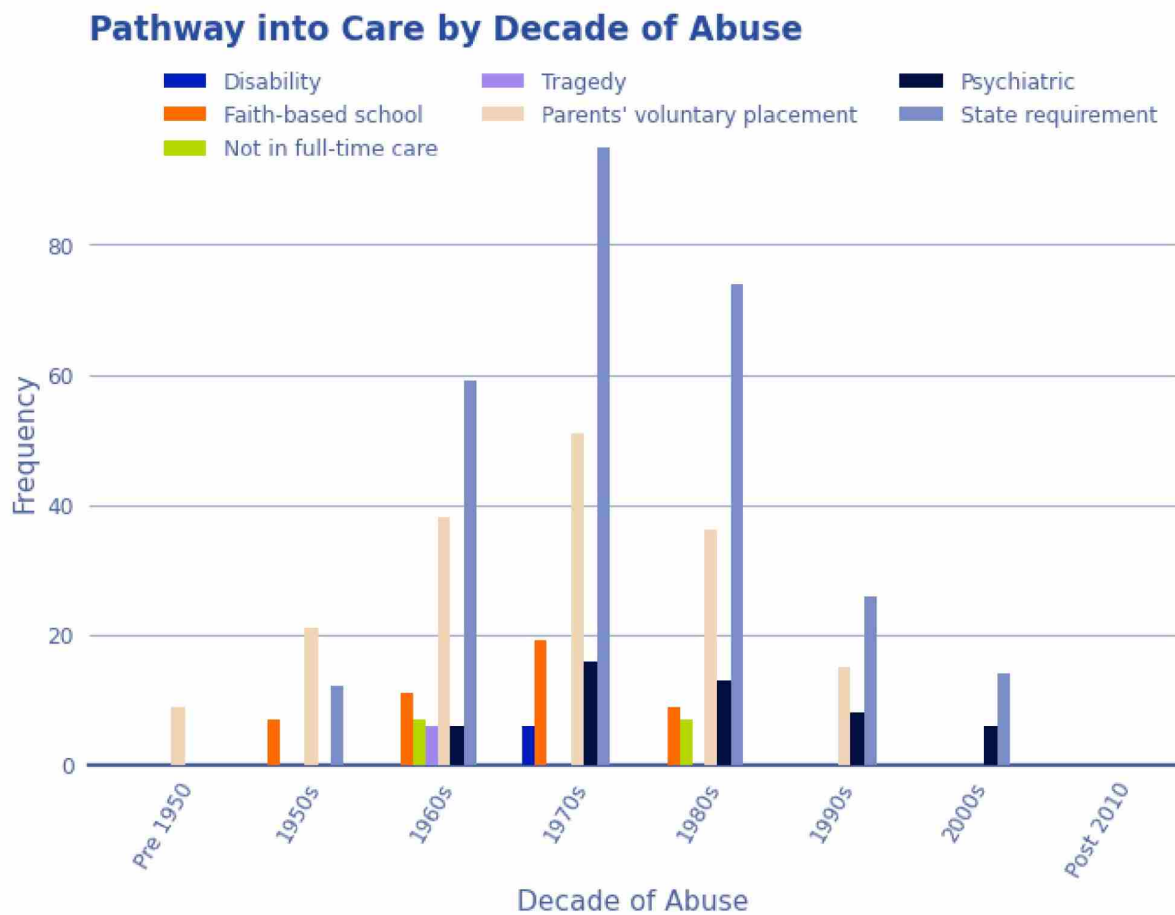
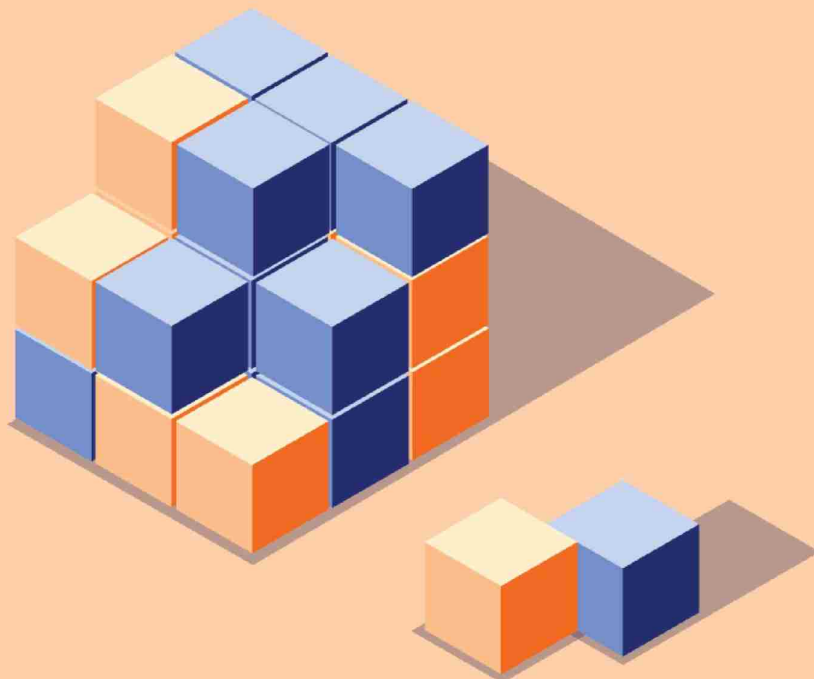


Figure 16: The Distribution of Pathways into Care by Decade of Abuse

Based on the survivor reports, ‘parent’s voluntary placement’ with social services was a more typical pathway into care pre-1950s and in the 1950s. Starting from the 1960s, involvement of CYFS to initiate the transition to state or faith-based care increased.



Abuse Types



7. Abuse Types

Of the 2,329 survivor accounts, 2,168 reported some form of abuse. The abuse type and its prevalence varied depending on the decade, setting, institution type and the survivor. It was common for survivors to be subjected to multiple types of abuse, including but not limited to emotional, physical and sexual abuse, as well as abuse by neglect. In the following sections we have detailed our analysis of abuse types by decade of abuse, gender, age, ethnicity, Deaf and disability.

7.1. Relationship between Abuse Types

Below we've depicted the relationship between abuse types, which shows that many survivors reported multiple forms of abuse. The table observes that 1,382 survivors reported both physical and sexual abuse, while 1,289 survivors reported emotional and physical abuse and 1,148 survivors reported emotional and sexual abuse.

Relationship between Survivor Reported Abuse Types

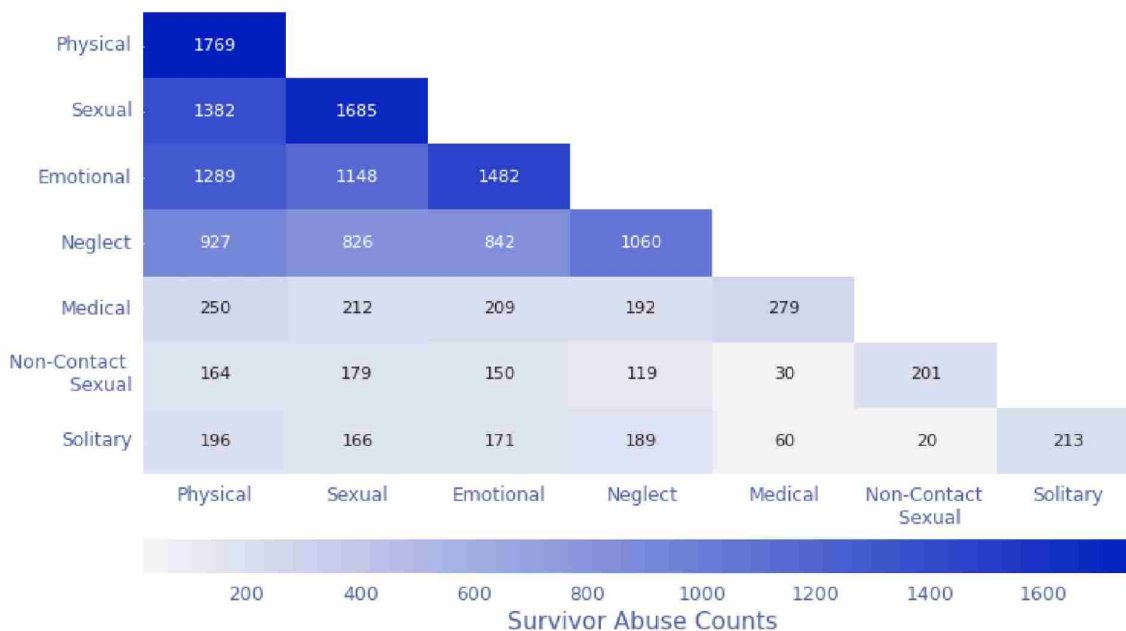


Figure 17: Relationship between Survivor Reported Abuse Types

7.2. Relationship between Abuse Types

The chart below details the relationship between abuse types to highlight the multiple abuse types survivors were subjected to. It is similar to the chart above, but shows proportions. For example, 82% of survivors who reported sexual abuse also reported physical abuse. 78% of survivors who reported physical abuse also reported sexual abuse.

The chart also shows that of those who reported solitary abuse, 92% reported physical abuse and 89% reported neglect.

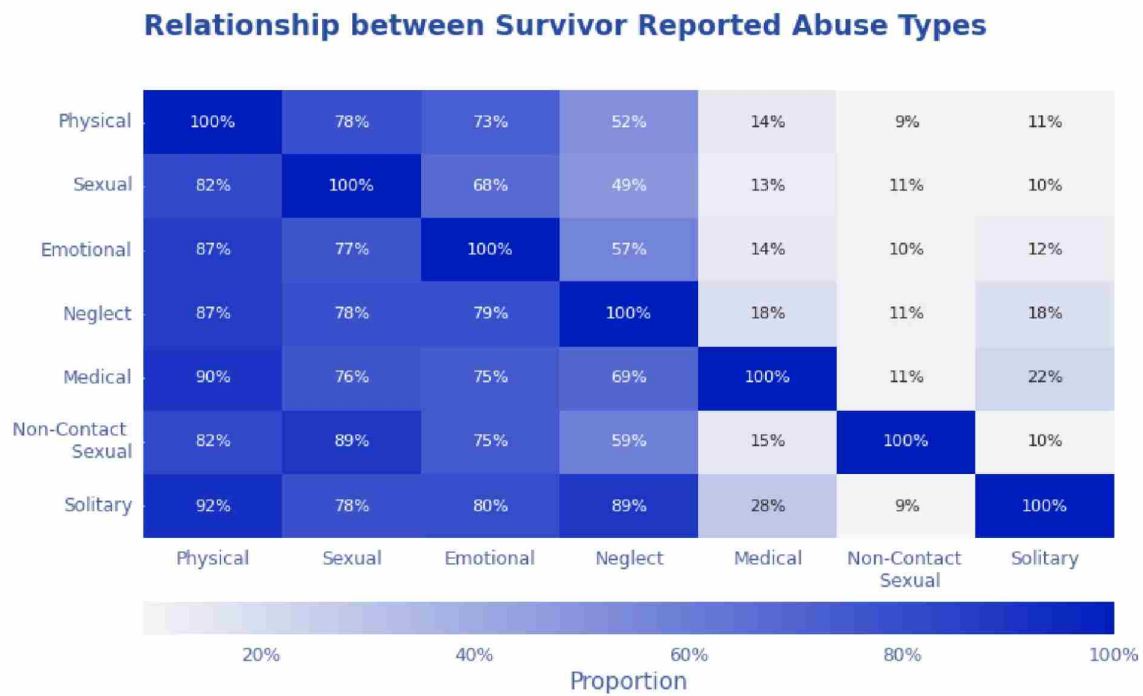


Figure 18: Relationship between Survivor Reported Abuse Types

7.3. Decade of Abuse by Abuse Types

The below analysis looks into the decade in which reported abuse occurred, by the abuse type. The scope of this analysis only includes survivors with accounts from 1950 - 1999, however as the data also has records in decades outside of this timeframe, we have chosen to include these in the analysis and have grouped the out of scope accounts as “Pre 1950” and “Post 2010”.

The decade of abuse was absent for 20% of the abuse data. To ensure the fullness of reporting, the decade of abuse was imputed based on the survivor age while in care (if available) and otherwise based on the setting. Further detail on this methodology can be found in the [appendix](#).

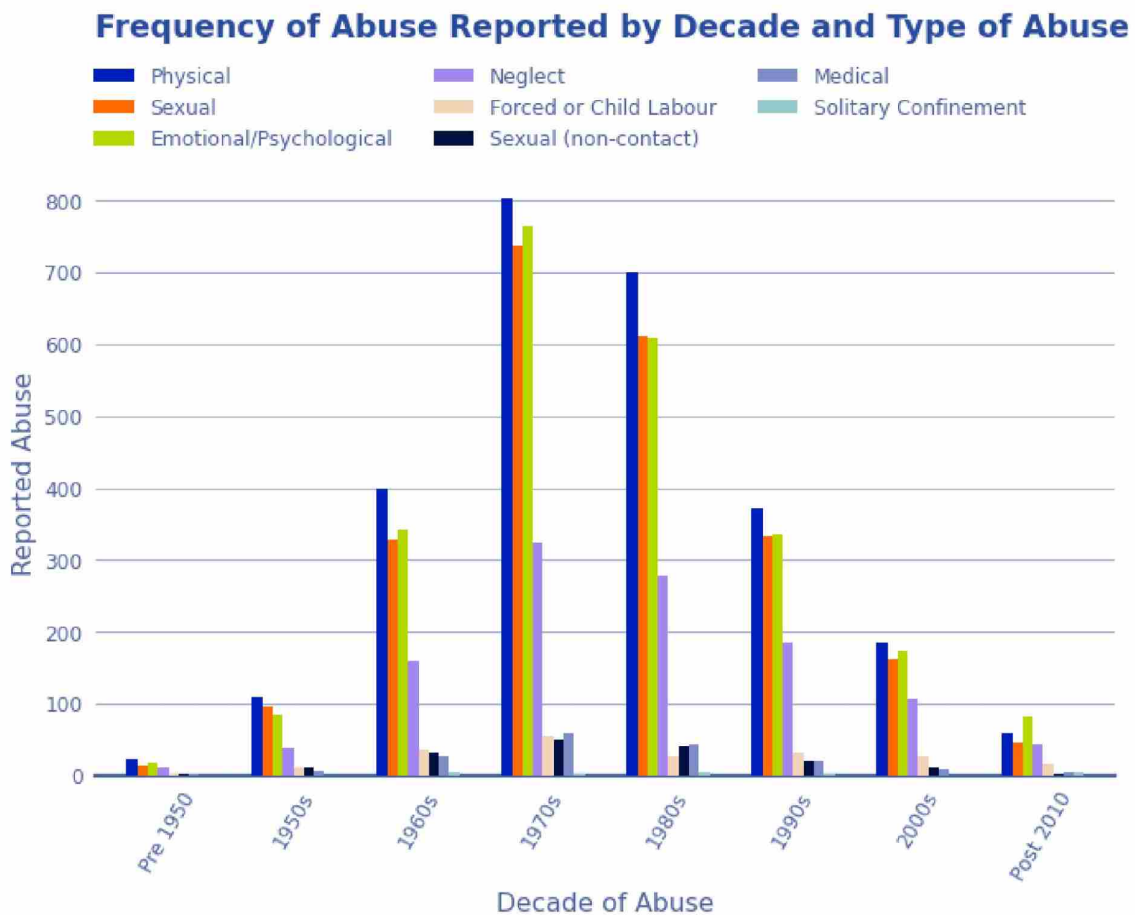


Figure 19: Frequency of Abuse Reported by Decade and Type of Abuse

The three most prevalent abuse types reported by survivors for the period between 1950 and 2000 were physical abuse, sexual abuse and emotional abuse. These types of offences accounted for 5,439 or 82% of the instances of abuse over the total period.

Based on the survivor reports, the highest decade of abuse was the 1970s, with 1,392 survivor reports of abuse in this decade. This compares with 713 instances in the 1960s and 996 in the 1980s.

7.4. Distribution of Abuse Types by Decade of Abuse

When we analysed the proportion of abuse type by decade, it was found that the abuse types remained largely consistent across all observed decades. Across all decades, physical abuse is the most prevalent, except for in post 2000 when accounts of emotional and psychological abuse climbed to 32% of all reported abuse.

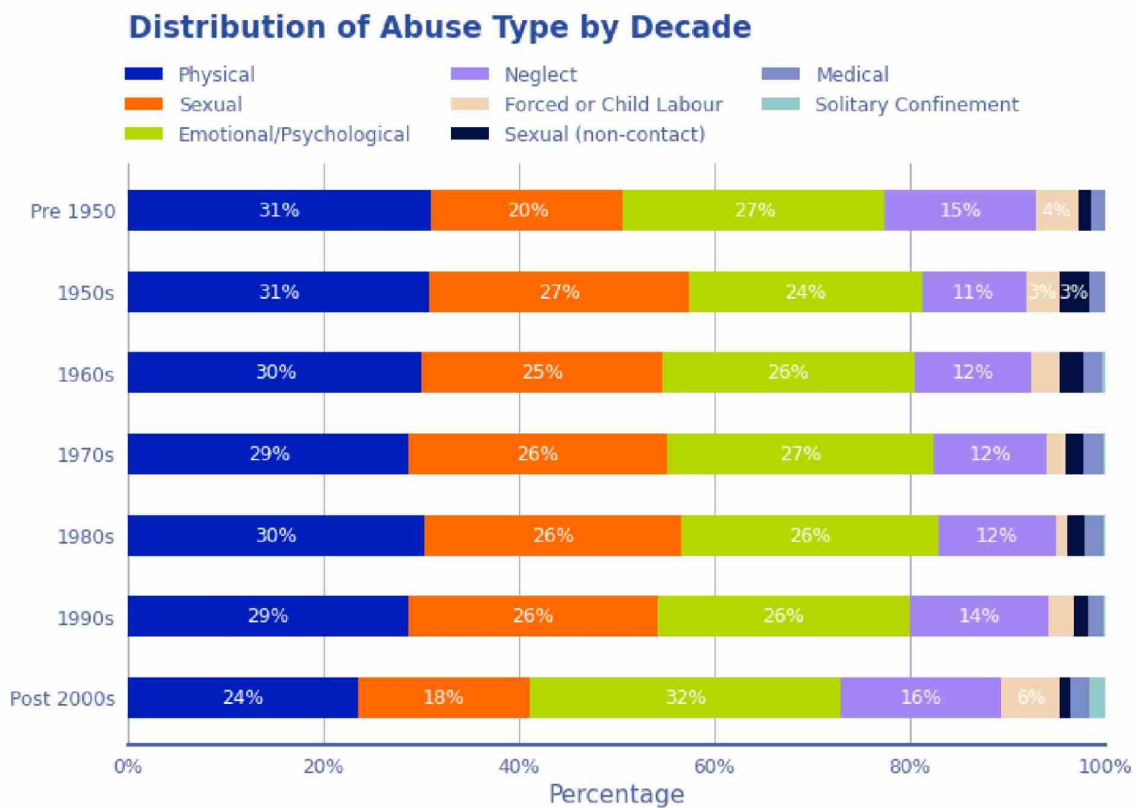


Figure 20: Distribution of Abuse Type by Decade

7.4.1. Decade of Abuse by Ethnicity

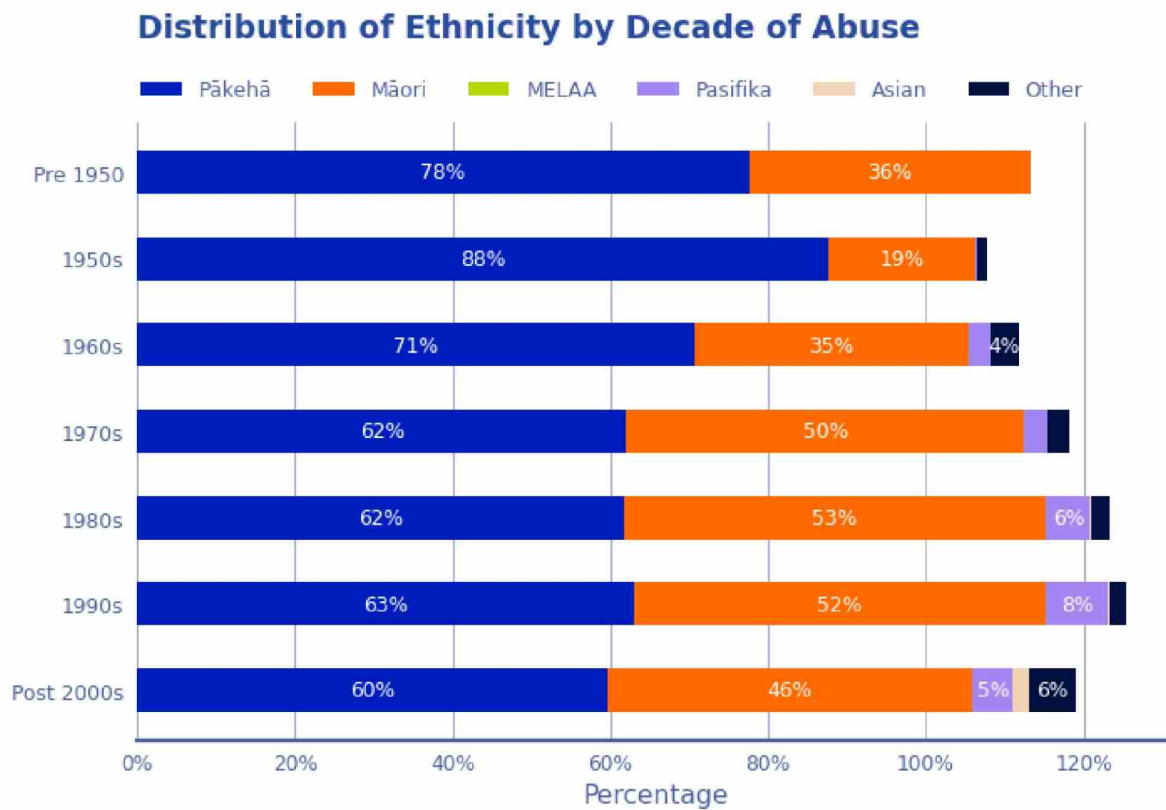


Figure 21: Distribution of Ethnicity by Decade of Abuse

Note: Proportions add to greater than 100% as survivors can be attributed to more than one ethnicity.

7.4.2. Abuse Type by Age

In this analysis we look at the frequency of abuse reported by survivors by their age and abuse type. This is calculated from the survivor time in care data, which records the age a survivor entered and left an institution, along with the instances of abuse reported during their stay. It is important to note that if a survivor reported abuse at a given institution between the ages of 5-12, it will be counted in both the 5-9 and 10-14 age groups. In cases where either the starting or ending age was absent from the data, instances of abuse were solely recorded for the available age category.

The chart below shows reported cases of sexual and physical abuse are relatively consistent among survivors in care within the 0-14 age bracket, with slightly elevated rates of sexual abuse. Among those aged 15 and above, instances of physical abuse were reported more frequently. For survivors aged 25+, emotional abuse emerged as the most reported abuse type.

Frequency of Abuse Reported by Abuse Type and Age of Survivor

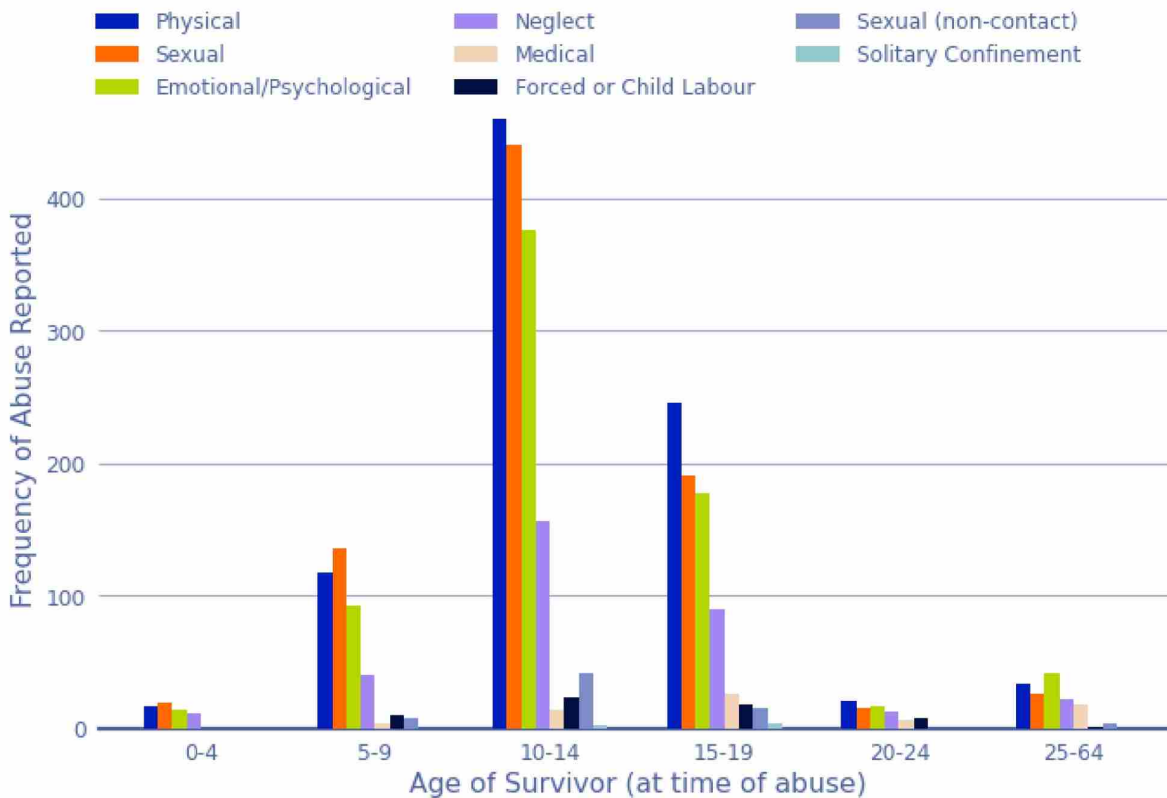


Figure 22: Frequency of Abuse Reported by Type of Abuse and Age of Survivor

7.5. Abuse Type by Ethnicity

In our analysis of abuse types based on ethnicity, we've combined the Asian, MELAA and Other survivor groups into the 'Other' category due to their relatively smaller population size. This categorisation results in the following population sizes for each ethnic group:

- Pākehā: 1,483
- Māori: 1,018
- Pasifika: 113
- Other: 66

In the chart below, Pākehā experienced higher counts for each of the abuse types, which is relative to Pākehā making up 64% of the survivor population.

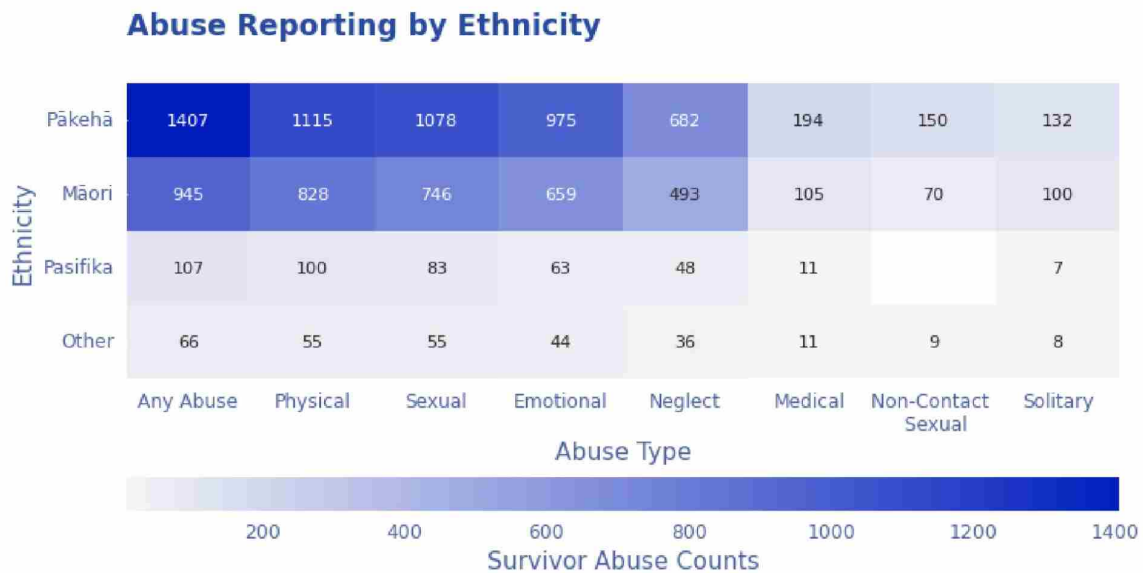


Figure 23: Abuse Reporting by Ethnicity

7.5.1. Proportions by Ethnicity

When looking at the proportion of abuse types by ethnicity, Pākehā and Pasifika experienced the highest proportions of any type of abuse. Pasifika and Māori experienced the highest proportion of physical abuse at 88% and 81% respectively. 'Other ethnicities' had the highest proportion of sexual abuse, and Pākehā had the highest proportion of emotional abuse.

Distribution of Abuse Reporting by Ethnicity

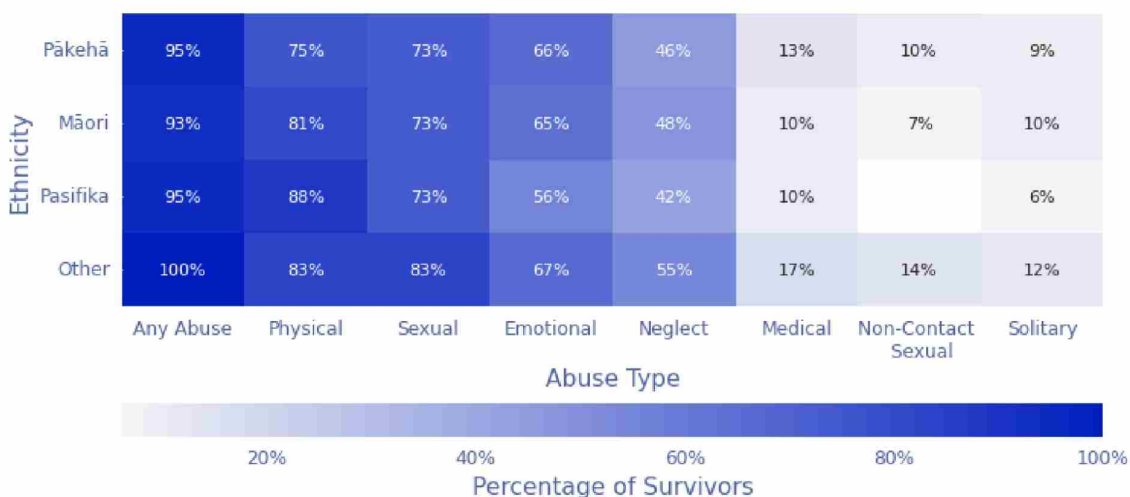


Figure 24: Distribution of Abuse Reporting by Ethnicity

Below we conducted a series of proportion tests to determine whether particular ethnic groups exhibited a higher likelihood of experiencing specific types of abuse compared to the broader survivor population. Our attention was particularly focused on identifying differences within the Māori, Pasifika, and Pākehā survivor groups.

7.5.1.1. Māori more likely to report physical abuse

Of the 1,018 Māori survivors, 828 (81%) reported being physically abused compared to the non-Māori survivor population, where 941 out of 1311 (72%) survivors reported physical abuse.

7.5.1.2. Pasifika more likely to report physical abuse

Of the 113 Pasifika survivors, 100 (88%) reported being physically abused compared to the non-Pasifika survivor population, where 1,669 out of 2216 (75%) survivors reported physical abuse. The proportions test of these findings indicates that the proportion of Pasifika people that reported physical abuse was larger than what would be expected due to chance.

7.5.1.3. Other significant relationships between ethnicity and abuse type:

- Māori survivors were more likely to experience neglect (48%, 493/1018, 95% CI [45.4%, 51.5%]) compared to non-Māori (43%, 567/1311, 95% CI [40.6%,45.9%]).
- Pākehā survivors were more likely to experience emotional abuse (66%, 975/1483, 95% CI [63.3%, 68.2%]) compared to non-Pākehā survivors (60%, 507/846 95% CI [56.6%, 63.2%]).
- Pākehā survivors were more likely to experience medical abuse (13%, 194/1483, 95% CI [11.4%, 14.8%]) compared to non-Pākehā (10%, 85/846, 95% CI [8.0%, 12.1%])
- Pākehā survivors were more likely to experience non-contact sexual abuse (10%, 150/1483, 95% CI [8.5%, 11.6%]) compared to non-Pākehā (6%, 51/846, 95% CI [4.4%, 7.6%])

7.6. Abuse Type by Gender

When analysing abuse types by gender, males experienced a higher count across all abuse types, which is due to 59% of the survivor population being male.

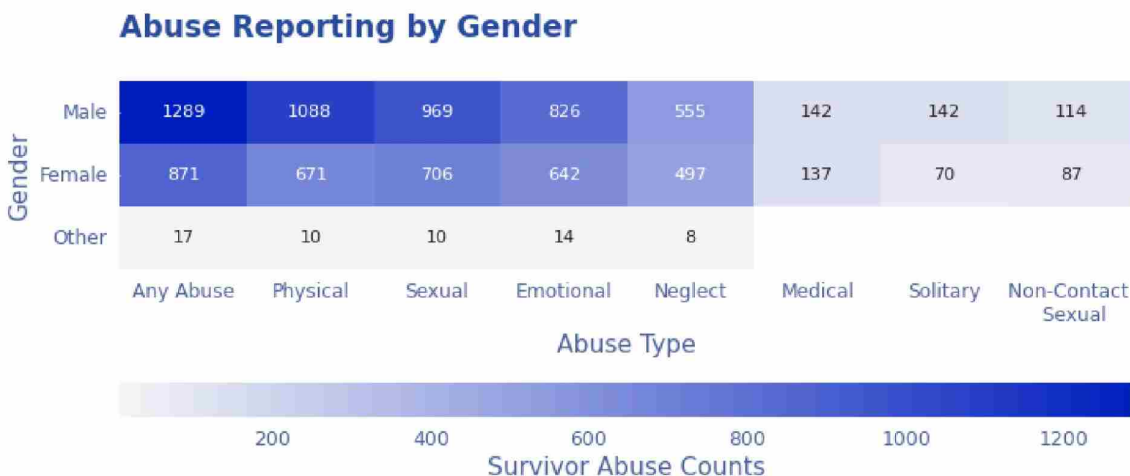


Figure 25: Abuse Reporting by Gender

Note: Due to small population sizes for gender groups other than male and female, these have been combined into “Other”. This includes: gender diverse, non binary, prefer not to say and not stated.

7.6.1. Proportion of Abuse Type by Gender

When looking into the proportion of abuse types by gender, males are more likely to experience physical abuse and solitary abuse, whilst females are more likely to experience sexual, non-contact sexual and medical abuse.

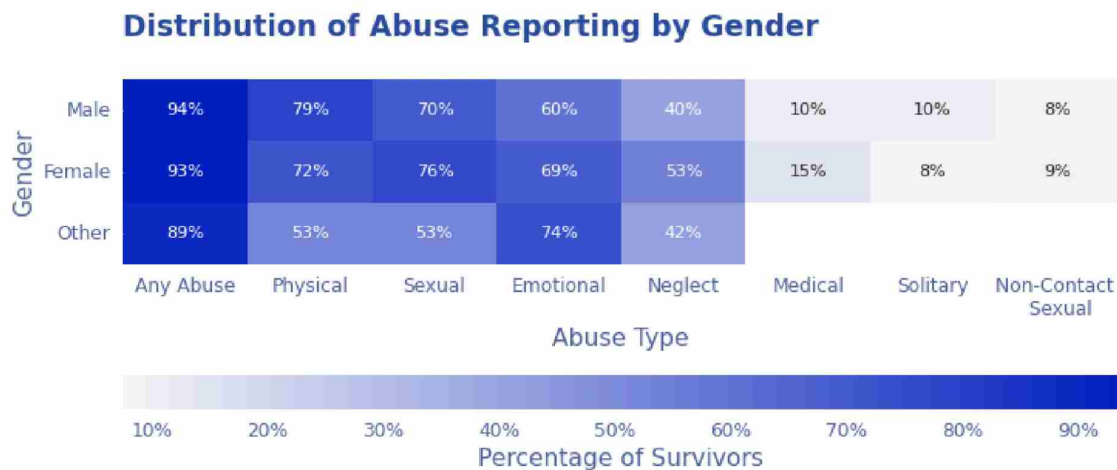


Figure 26: Distribution of Abuse Reporting by Gender

7.6.2. Males more likely to report Physical Abuse

1,088 (79%) of the 1,378 male survivors reported being physically abused, while 681 non-male survivors out of 951 (72%) reported physical abuse.

This difference was statistically significant $z = 4.01$, $p < .001$, which indicates that the proportion of males that reported physical abuse was larger than what would be expected due to chance (95% CI [76.8%,81.1%] for male survivors, and 95% CI [68.7%, 74.5%] for non-males).

7.6.3. Females more likely to report Sexual Abuse

706 (76%) of the 932 female survivors reported being sexually abused, while 979 non-female survivors out of 1,397 (70%) reported sexual abuse.

This difference was statistically significant $z = 4.01$, $p = .001$, which indicates that the proportion of females that reported sexual abuse was larger than what would be expected due to chance (95% CI [72.9%, 78.5%] for female survivors, and 95% CI [67.6%, 72.5%] for non-females).

7.6.4. Other Significant Relationships between Gender and Abuse Type:

- Female survivors are more likely to report neglect (53%, 497/932, 95% CI [50.1%, 56.5%]) compared to non-females (40%, 563/1,397, 95% CI [37.7%, 42.9%]).
- Female survivors are more likely to report emotional abuse (69%, 642/932, 95% CI [65.9%, 71.9%]) compared to non-females (60%, 840/1,397, 95% CI [57.6%, 62.7%]).
- Female survivors are more likely to report medical abuse (15%, 137/932, 95% CI [12.4%, 17.0%]) compared to non-females (10%, 142/1,397, 95% CI [8.6%, 11.7%]).
- Male survivors are more likely to report solitary abuse (6%, 79/1378, 95% CI [4.5%, 7.0%]) compared to non-males (4%, 35/951, 95% CI [2.5%, 4.8%]).

7.7. Abuse Type by Ethnicity and Gender

7.7.1. Physical Abuse by Ethnicity and Gender

We reported earlier that Māori and Pasifika had higher levels of physical abuse than the rest of the population. When analysing this alongside gender, we see that for all ethnicities, men had a higher percentage of physical abuse.

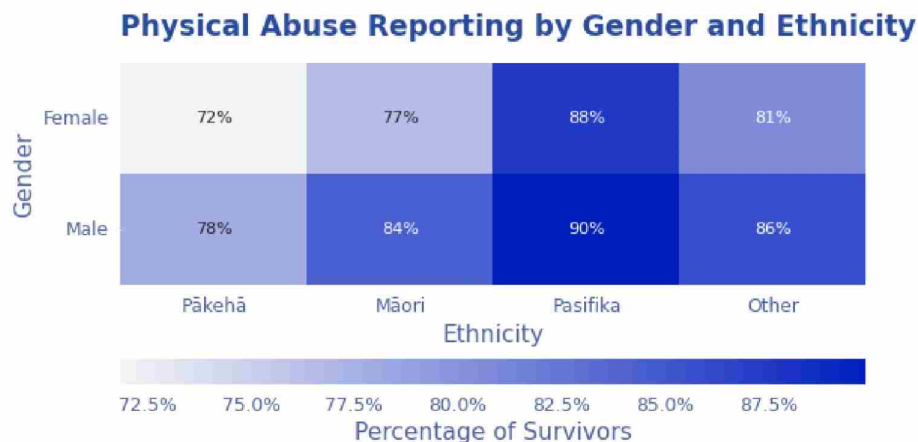


Figure 27: Distribution of Physical Abuse Reported by Gender

7.7.2. Sexual Abuse by Ethnicity and Gender

We reported earlier a significant difference in the rates of abuse between men and women. This relationship is more pronounced for Māori, Pasifika and Other ethnicities than for Pākehā. 81% of Pasifika women reported sexual abuse, compared to 70% for Pasifika men. Māori women reported a higher rate of sexual abuse compared to Māori men. This relationship does not hold for Pākehā men and women, who reported sexual abuse at similar rates.

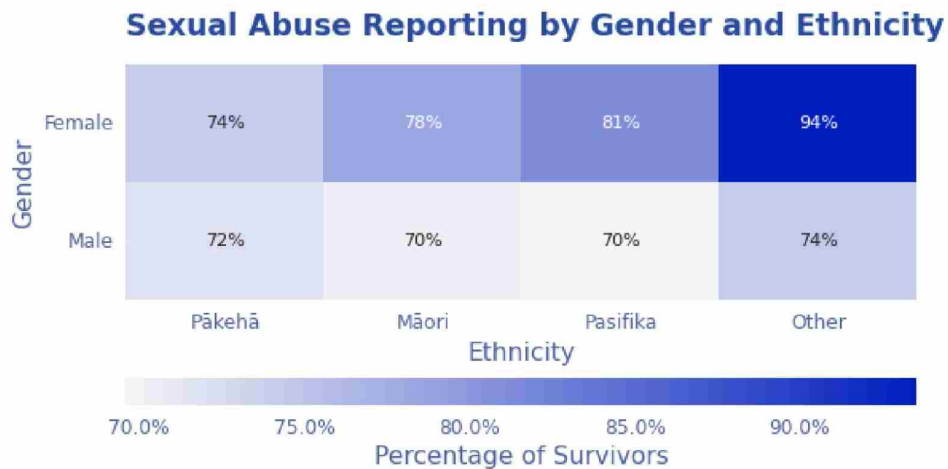


Figure 28: Distribution of Sexual Abuse Reporting by Gender

7.7.3. Emotional Abuse by Ethnicity and Gender

When analysing the reports of emotional abuse by ethnicity and gender, females across all ethnicities report higher rates of emotional abuse, except for Pasifika, where the reporting of emotional abuse is 56% across both genders.

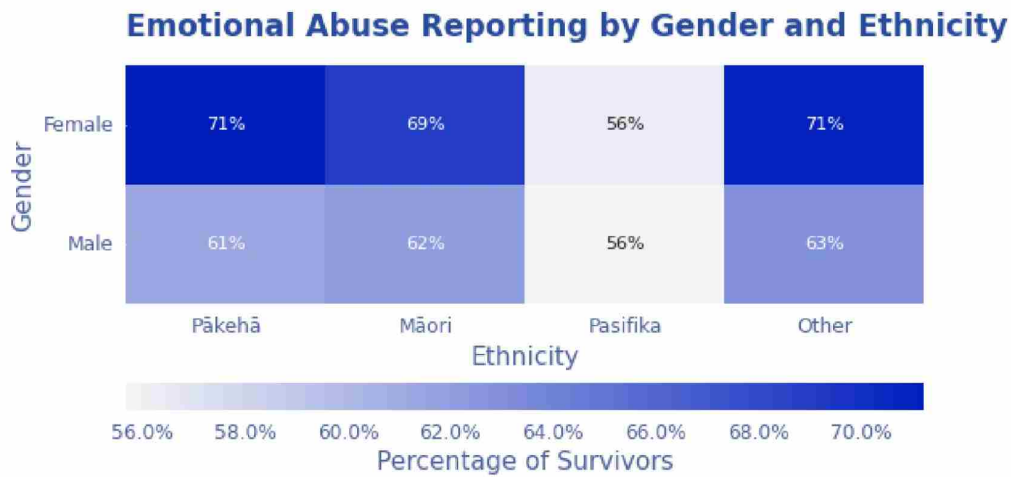


Figure 29: Distribution of Emotional Abuse Reporting by Gender

7.7.4. Neglect by Ethnicity and Gender

Neglect is higher in females of all ethnicities. This was especially true for Pasifika, with Pasifika females reporting a 24% higher rate than Pasifika males.

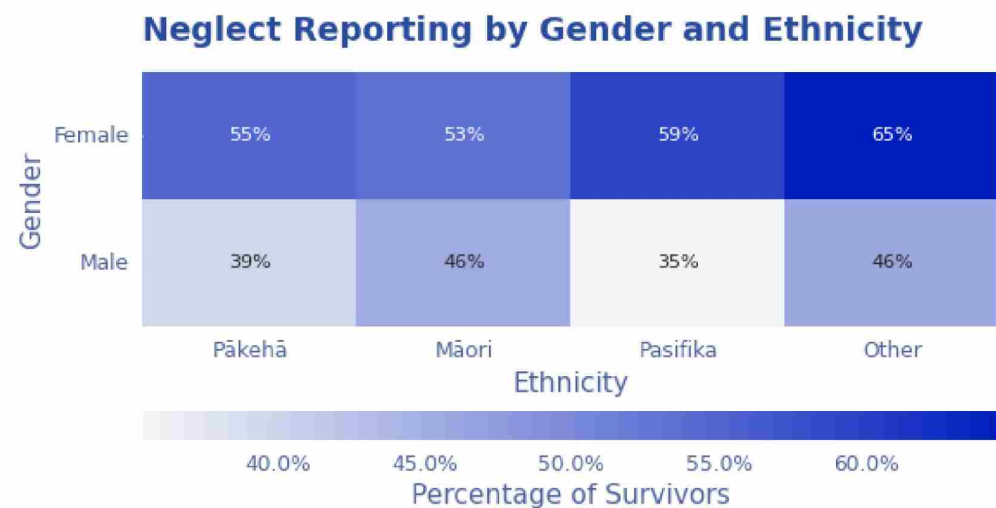


Figure 30: Distribution of Neglect Reporting by Gender and Ethnicity

7.8. Abuse Type by Mental Distress

The proportion of survivors who reported abuse is higher for all abuse types for people who have also experienced mental distress at some point. This difference is greatest for survivors reporting sexual abuse, where survivors who reported a mental distress condition had a 19% higher reporting rate of sexual abuse.

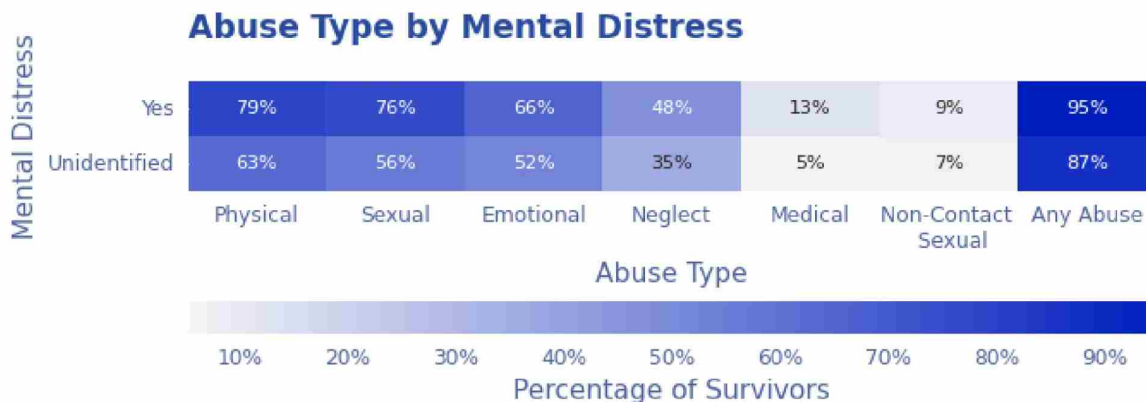


Figure 31: Distribution of Abuse Type by Mental Distress

7.9. Abuse Type by Sexual Identity

Abuse reporting rates are significantly higher for LGBTQIA+ members for sexual, emotional and non-contact sexual abuse.

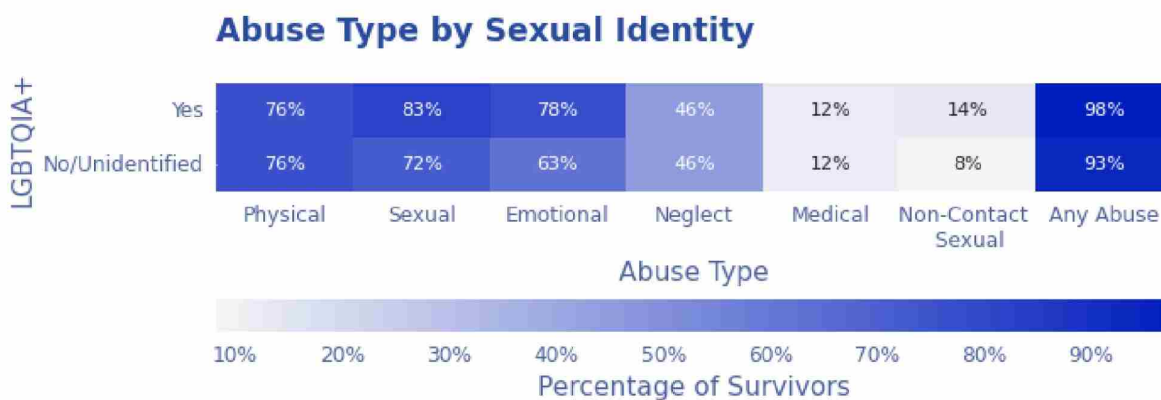


Figure 32: Distribution of Abuse Type by Sexual Identity

7.10. Abuse Type by Deaf or Disability

In the chart below we've analysed the prevalence of abuse reported by Deaf or disabled survivors. The data shows that survivors who are Deaf or disabled typically report abuse types at a higher rate. There are 624 survivors with a disability, 130 who are Deaf, and 1,625 who are neither Deaf nor disabled

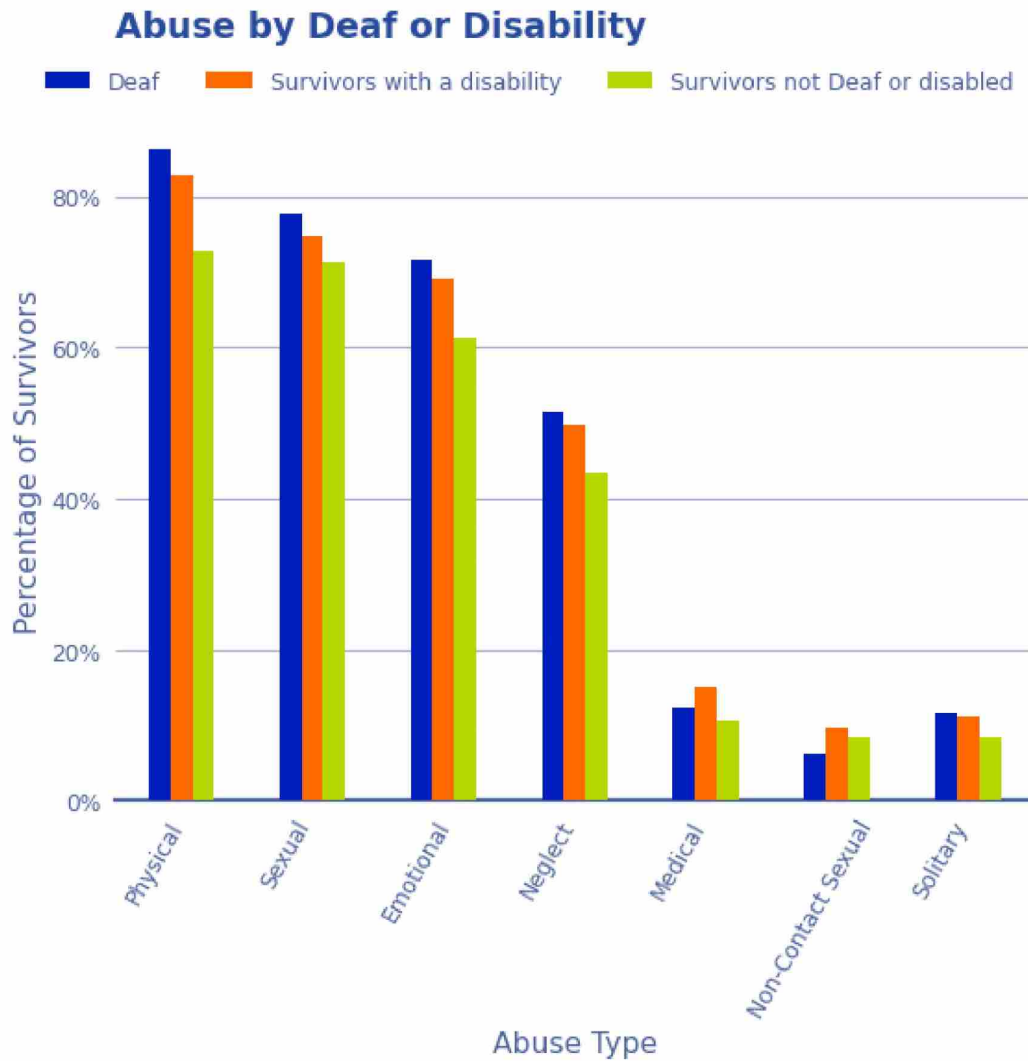


Figure 33: Distribution of Abuse Type by Deaf or Disability

Note that 50 Deaf survivors also reported a disability and are counted in both categories.

Using a two proportion z-test, we found that Deaf survivors are more likely to report physical ($p < .001$, $z = 3.3$) and emotional abuse ($p = 0.019$, $z = 2.3$) than survivors who are not Deaf or disabled.

Because of larger sample sizes, more significant differences exist between the disabled and not Deaf or disabled groups, with the disabled group consistently reporting higher rates of abuse.

- Disabled survivors are more likely to report physical abuse (13% $p < .001$, $z=5.0$) compared with survivors who are not Deaf or disabled.
- Disabled survivors are more likely to report emotional abuse (13% $p < .001$, $z=3.6$) compared with survivors who are not Deaf or disabled.
- Disabled survivors are more likely to report medical abuse (40% $p=0.0043$, $z=2.9$) compared with survivors who are not Deaf or disabled.
- Disabled survivors are more likely to report neglect (14% $p=0.0067$, $z=2.7$) compared with survivors who are not Deaf or disabled.
- Disabled survivors are more likely to report solitary abuse (33% $p=0.042$, $z=2.0$) compared with survivors who are not Deaf or disabled.

7.11. Abuse Type by Ethnicity and Deaf or Disability

Previously, we found that the proportion of survivors who are Deaf or living with a disability report abuse at a significantly higher rate than survivors who are not. This trend was consistent across all forms of abuse, with the exception of non-contact sexual abuse, which did not reach statistical significance at the 5% level

To delve deeper, this section explores the intersection of those who are Deaf or living with a disability and ethnicity to determine whether there are specific ethnic groups which have a higher prevalence of abuse among survivors with disabilities.

Importantly we note that Māori survivors with a disability report the highest prevalence of physical abuse at (90%) followed by Māori survivors who are Deaf (89%).

The chart below summarises reporting rates of each abuse type for every combination of disability group and ethnicity.

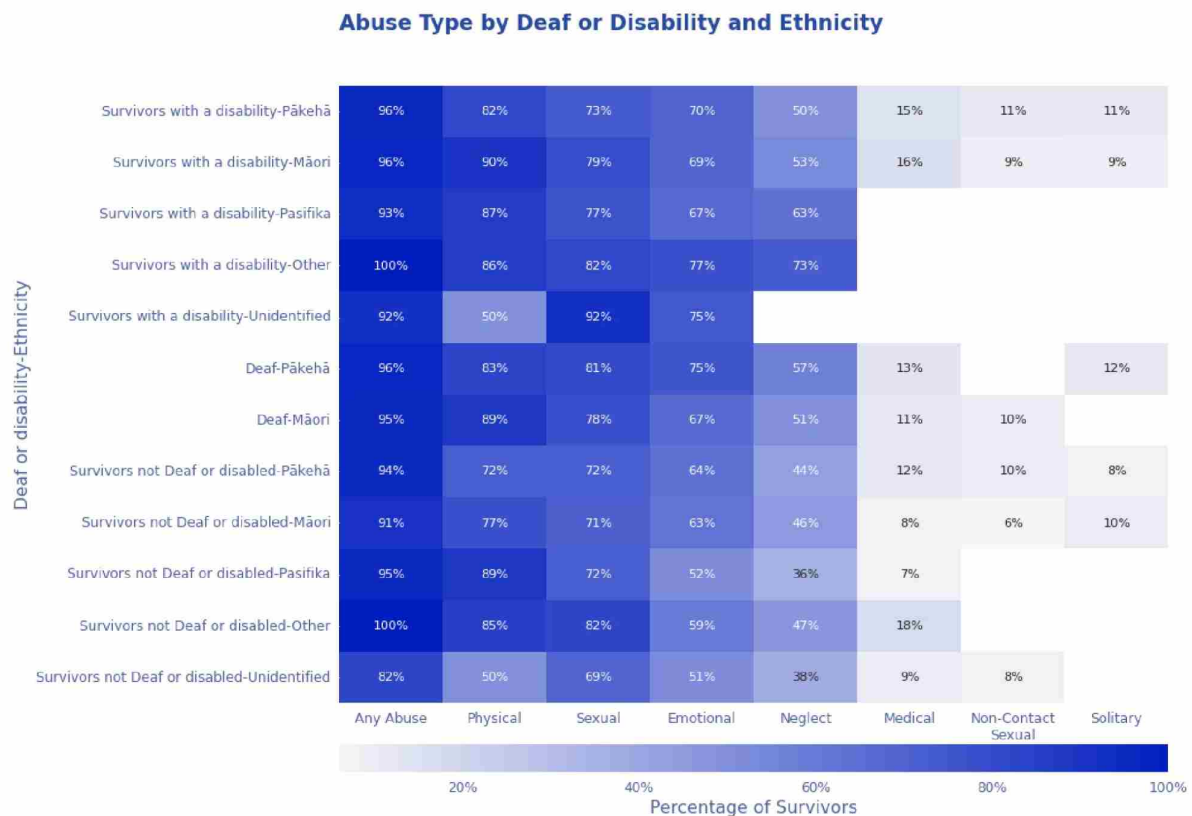
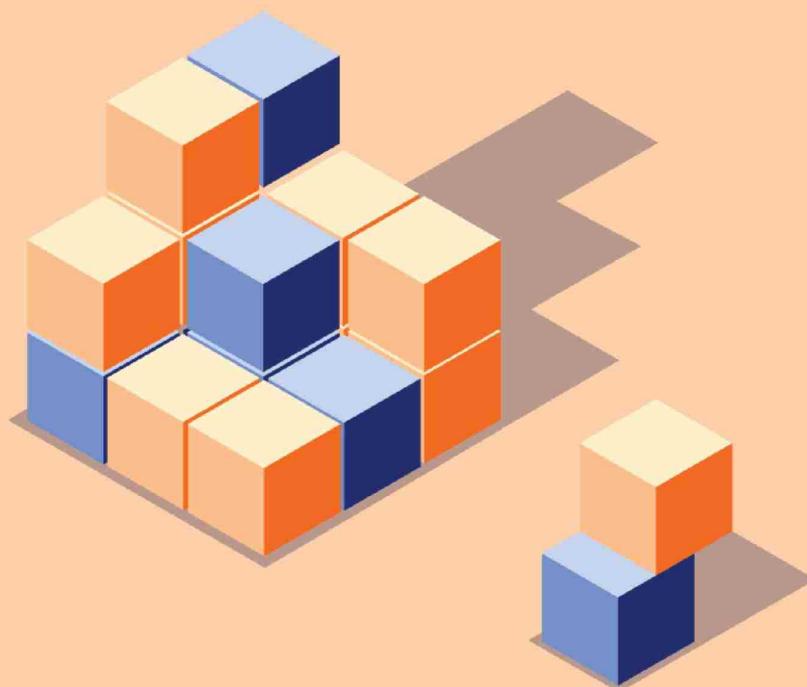


Figure 34: Abuse Types by Deaf and Disability and Ethnicity



Institution and Setting



8. Institution and Setting

In this section we analyse the institutions, institution types and settings that provided care for survivors with accounts, noting that not all survivors knew the institutions that they went to or could recall the names of the institutions.

8.1. Count by Institution

The institutions with the highest number of unique survivors are listed in the table below:

Institution	Unique Survivors
Foster Care	607
Adoption	168
Epuni Boys Home	144
Family Homes	131
Owairaka Boys Home	126

Table 1: Counts of Unique Survivors by Institution (for the five most attended Institutions)

8.2. Count by Setting

The table below shows the number of unique survivors by setting:

Setting Type	Setting	Unique Survivors
Social Welfare and Youth Justice	Total	1338
	Borstal	159
	Boys' or Girls' home	766
	Care Provider	218
	Foster care, foster homes, family homes	715
	SW Government Department	217
Faith	Total	815
	Church	148
	Faith Community	80
	Faith-based orphanages, residences and training centers	278

	Foster/family homes	21
	Religious schools	249
	Service Provider	125
	Unmarried Mothers home	21
	Total	437
Disability and Mental Distress	Disability Care Setting	29
	Forensic Care	8
	Psychiatric Care	321
	Special School	105
	Supported Living	20
	Total	301
Other	Government Department (non-SW)	5
	Hospital	141
	Other	171
	Total	153
Education	Education	153
	Total	138
Transitional and Law enforcement	Transitional and Law enforcement	138
	Total	51
Health Camps	Health Camp	51
	Total	16
Deaf Schools	Deaf Schools	16
	Total	2
Blind Schools	Blind School	2

Table 2: Counts of Unique Survivors by Setting

8.3. Setting by Length of Time in Care

This graph uses imputed records to establish the length of time in care. 2,843 (49.9%) records out of 5,697 have been imputed based on setting, which reflects the total number of records of unique institutions that survivors attended.

The graph below details the length of time in care per setting. Faith Communities had the highest length of time in care, with up to 52 years in care

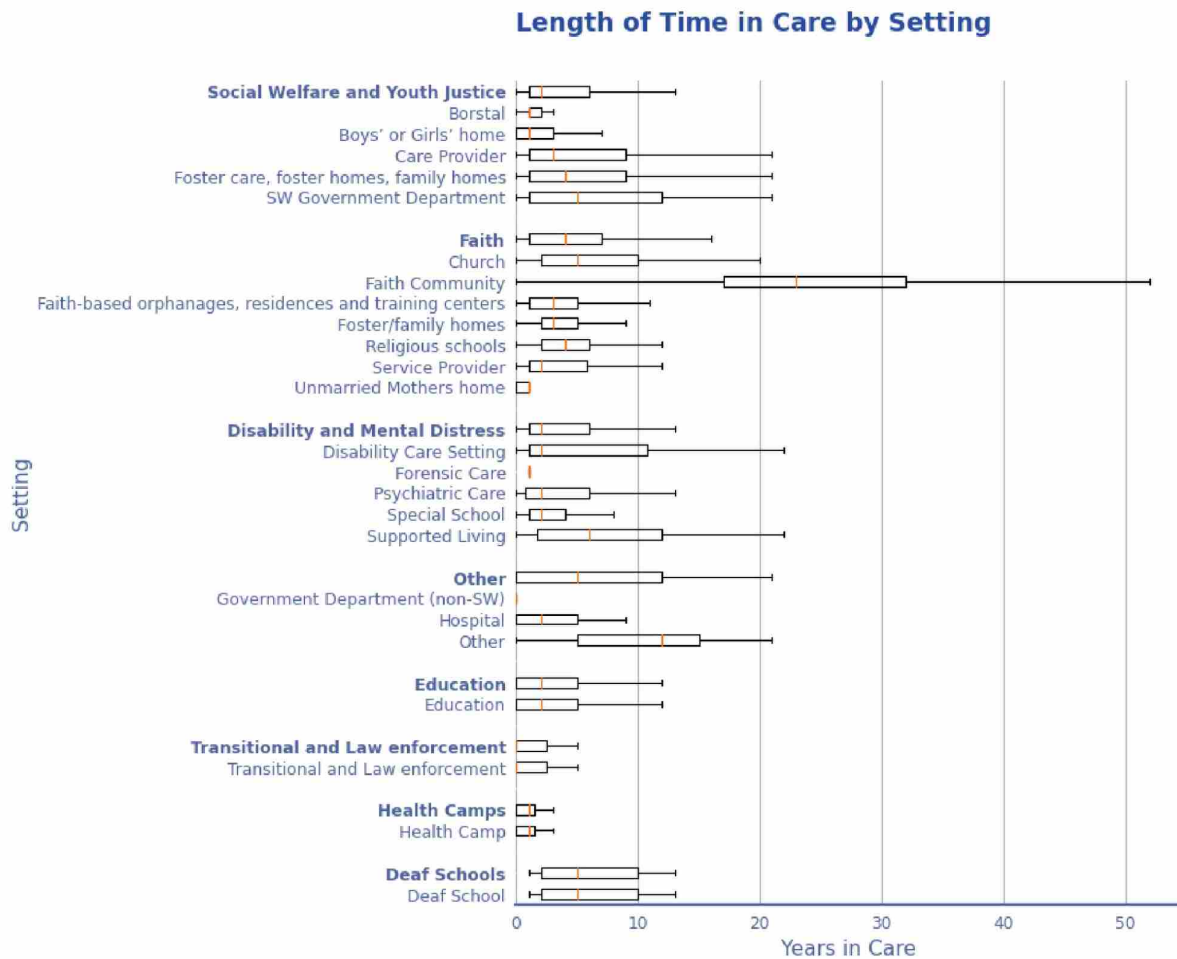


Figure 35: Length of Time in Care by Setting

Note the Blind School setting has been excluded due to incomplete data.

8.4. Setting by Experience of Incarceration

The table below shows the percentage of survivors who have been incarcerated at some point in their life, by setting. From this, we can derive that based on the survivors who were in forensic care, borstal, transitional and law enforcement or girls or boys home settings, at least 50% have been incarcerated at some point in their lives.

Of the 159 survivors who have been in borstal settings, 100 or 63% of those have been incarcerated at some point during their life.

Setting Type	Setting	Incarcerated Survivors by Setting	Percentage of All Survivors
Social Welfare and Youth Justice	Total	548	41%
	Borstal	100	63%
	Boys' or Girls' home	390	51%
	Care Provider	84	39%
	Foster care, foster homes, family homes	260	36%
	SW Government Department	70	32%
Faith	Total	166	20%
	Church	15	10%
	Faith-based orphanages, residences and training centers	90	32%
	Foster/family homes	6	29%
	Religious schools	44	18%
	Service Provider	35	28%
Disability and Mental Distress	Total	150	34%
	Disability Care Setting	6	21%
	Forensic Care	6	75%
	Psychiatric Care	108	34%
	Special School	47	45%
Other	Total	82	27%
	Hospital	37	26%

	Other	51	30%
Education	Total	49	32%
	Education	49	32%
Transitional and Law enforcement	Total	82	59%
	Transitional and Law enforcement	82	59%
Health Camps	Total	25	49%
	Health Camp	25	49%

Table 3: Incarcerated Survivors by Setting

Some settings in the table above have been suppressed to maintain confidentiality.

8.5. Count of Institutions by Incarceration

In the graph below we look at the frequency of institutions attended by incarceration rate. The analysis shows survivors were much more likely to be incarcerated if they attended five or more institutions, compared with those survivors who were placed in one to four institutions.

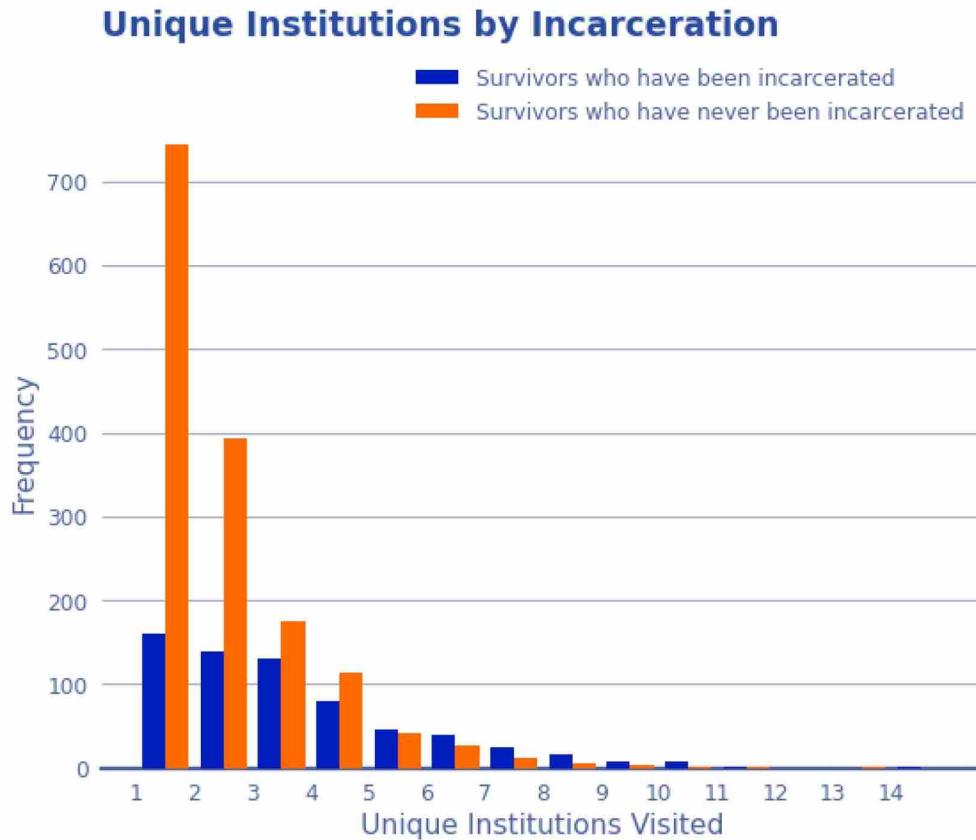


Figure 36: Unique Institutions by Incarceration

8.6. Institution Types by Abuse Type

When comparing state institutions with faith-based institutions, we can see that the rates of physical abuse are similar across both of these institution types. Sexual and emotional abuse is more prevalent in faith-based institutions.

Abuse Type by Institution Type

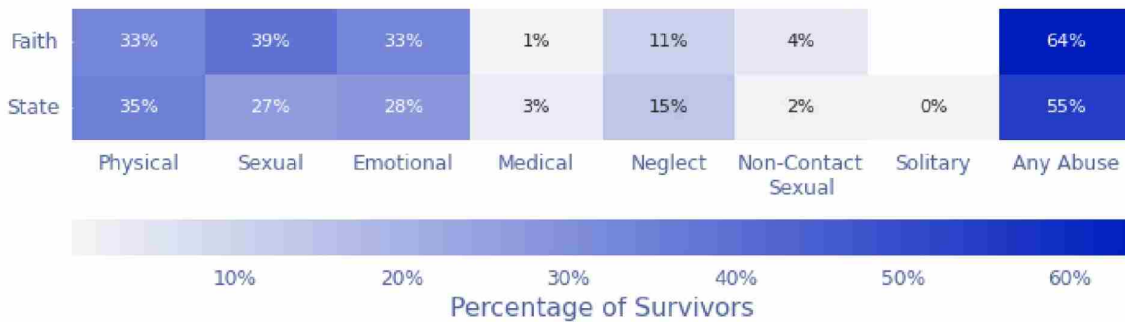


Figure 37: Institution Types by Type of Abuse

8.7. Setting by Abuse Type

Emotional abuse was highly prevalent at unmarried mothers' homes. 70% of survivors who resided at this setting reported emotional abuse. This is the same proportion as the "Any Abuse" category.

The church setting has the highest proportion of sexual abuse reported at 50%, but a comparatively lower proportion of physical abuse at 20%. The Psychiatric setting has the highest reported medical abuse at 11%.

Abuse Type by Setting

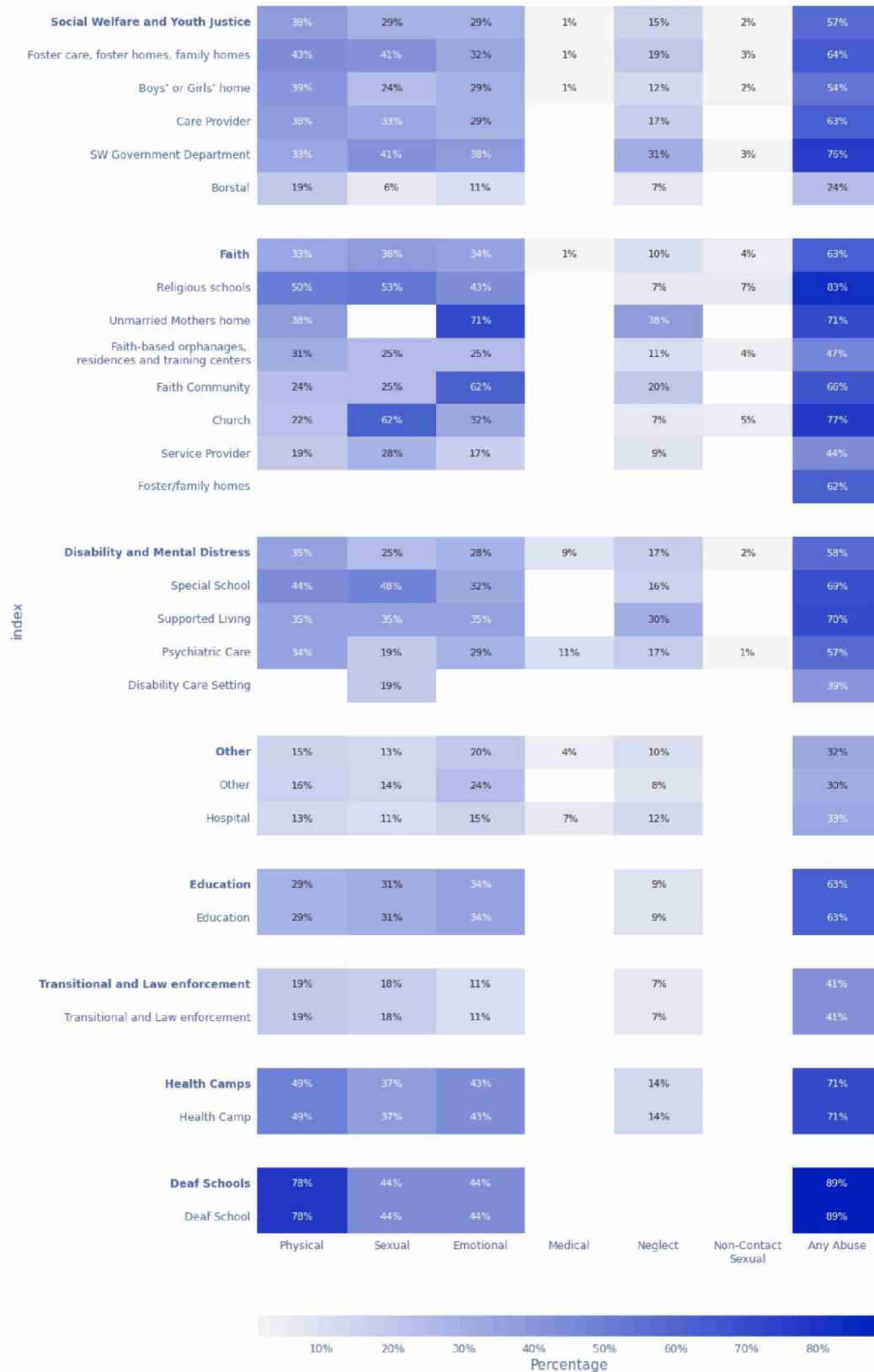


Figure 38: Setting by Abuse Type

Note: Settings that had fewer than 20 survivors in care have been removed from this analysis.

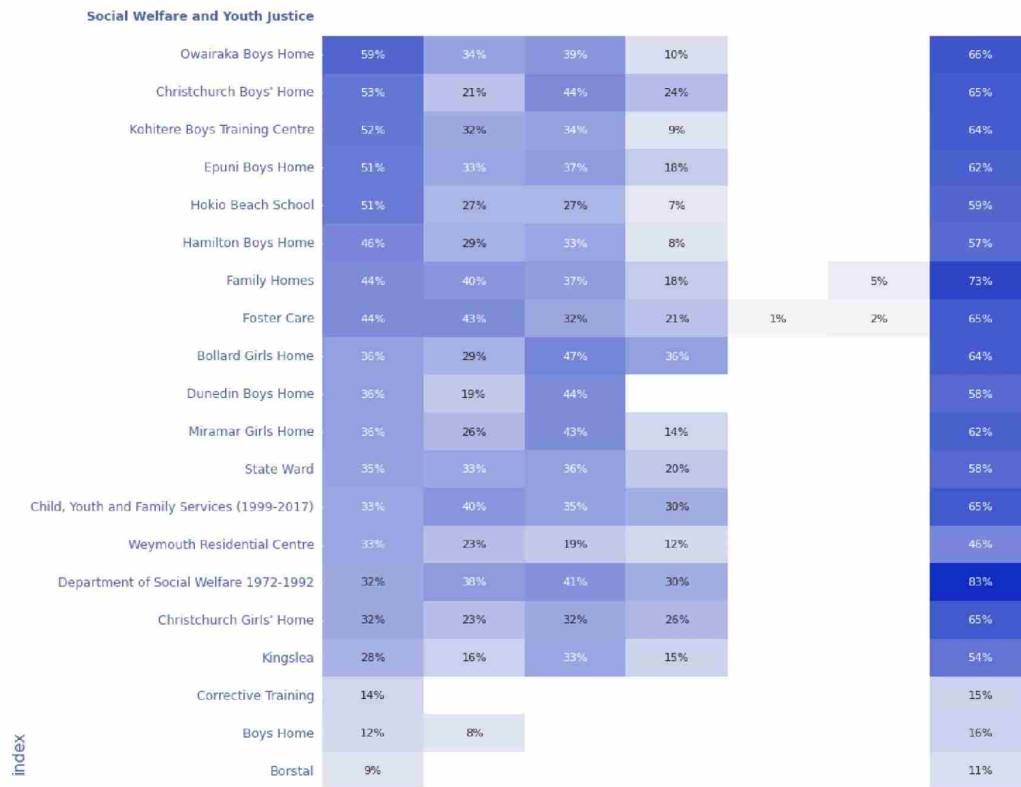
8.8. Institution by Abuse Type

When analysing the institutions with the highest reported accounts of the types of abuse, we found that **School** had the highest proportion of survivors report sexual abuse at 75%. **Wesleydale Boys Home** had the fourth highest rate of reporting sexual abuse at 59%.

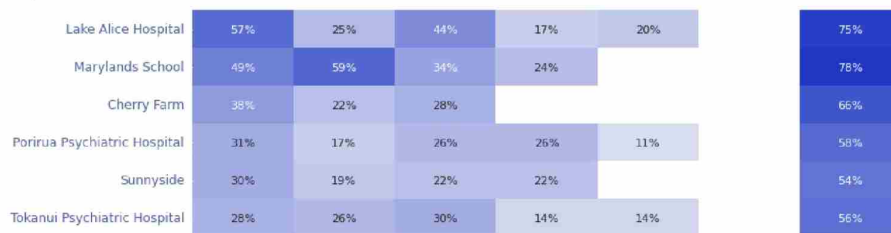
The highest level of physical abuse was reported at Wesleydale Boys Home and Owairaka Boys Home (also known as Auckland Boys Home). 68% of Wesleydale Boys Home and 59% of Owairaka Boys Home survivors reported being physically abused.

The **Wesleydale Boys Home** had the highest reporting rate of emotional abuse at 85%.

Abuse Type by Institution



Disability and Mental Distress



Other

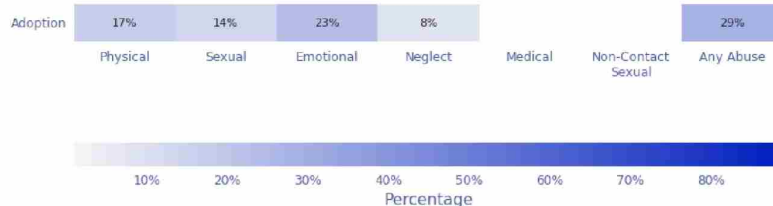


Figure 39: Institution by Type of Abuse

Note: Institutions with multiple names have been reduced to one on the graph above e.g. Hamilton Girls Home/Dey Street Residence has been renamed as Hamilton Girls Home. Institutions that had fewer than 30 survivors reside there have been removed from this analysis.

8.9. Demographic Prevalence at Institutions and Settings

8.9.1. Mental Distress

Of all survivor accounts, 83% of survivors reported living with mental distress at some stage during or following their state or faith-based care.

8.9.2. Mental Distress by Institution type

State institutions have a higher proportion of survivors who reported mental distress at some point in time (note that this may be after leaving an institution).

- 84.8% of survivors at state institutions reported experiencing mental distress during or following their care experience (1460/1721)
- 82.0% of survivors at faith institutions reported experiencing mental distress during or following their care experience (691/843)

Considering only unique *instances*, where an individual was admitted to the same institution multiple times, the prevalence of mental distress is higher:

- 87.1% of instances at state institutions involve survivors who report experiencing mental distress (3952/4537)
- 83.6% of instances at faith institutions involve survivors who report experiencing mental distress (975/1166)

8.9.3. Mental Distress by Setting

The prevalence of mental distress by setting is detailed in the following table. The table shows that the rate of mental distress reported by survivors due to admission at any setting is high, with a range from 100% at its highest to 62% at its lowest across all settings.

Setting Type	Setting	Instances of mental distress	Percentage of All Survivors
Social Welfare and Youth Justice	Total	2728	86%
	SW Government Department	210	88%
	Boys' or Girls' home	1328	87%
	Borstal	173	86%
	Foster care, foster homes, family homes	817	86%
	Care Provider	200	82%
Faith	Total	930	84%
	Unmarried Mothers home	20	95%
	Foster/family homes	22	92%
	Faith-based orphanages, residences and training centers	336	86%
	Service Provider	111	85%
	Religious schools	250	82%
	Church	129	81%
	Faith Community	62	76%
Disability and Mental Distress	Total	590	90%
	Forensic Care	9	100%
	Psychiatric Care	433	92%
	Supported Living	18	90%
	Special School	100	85%
	Disability Care Setting	30	83%
Other	Total	317	91%
	Government Department (non-SW)	7	100%
	Hospital	152	94%
	Other	158	88%
Education	Total	166	90%

	Education	166	90%
Health Camps	Total	42	82%
	Health Camp	42	82%
Transitional and Law enforcement	Total	140	85%
	Transitional and Law enforcement	140	85%
Deaf Schools	Total	13	62%
	Deaf Schools	13	62%
Blind Schools	Total	..C	..C

Table 4: Instances of Mental Distress by Setting

We have removed settings with fewer than 6 people. Note that this data is based on instances and not individuals. This means that a survivor who appeared at multiple institutions, or at the same institution multiple times will be counted twice.

8.9.4. Deaf and Disability

Out of 2,329 survivors, 88% (2,045 people) reported being Deaf or living with a disability. The largest disability groupings were survivors with neurodiversity, learning disabilities and mobility disabilities.

Below we have detailed the breakdown of Deaf or disability types, noting that an individual may have multiple disabilities.

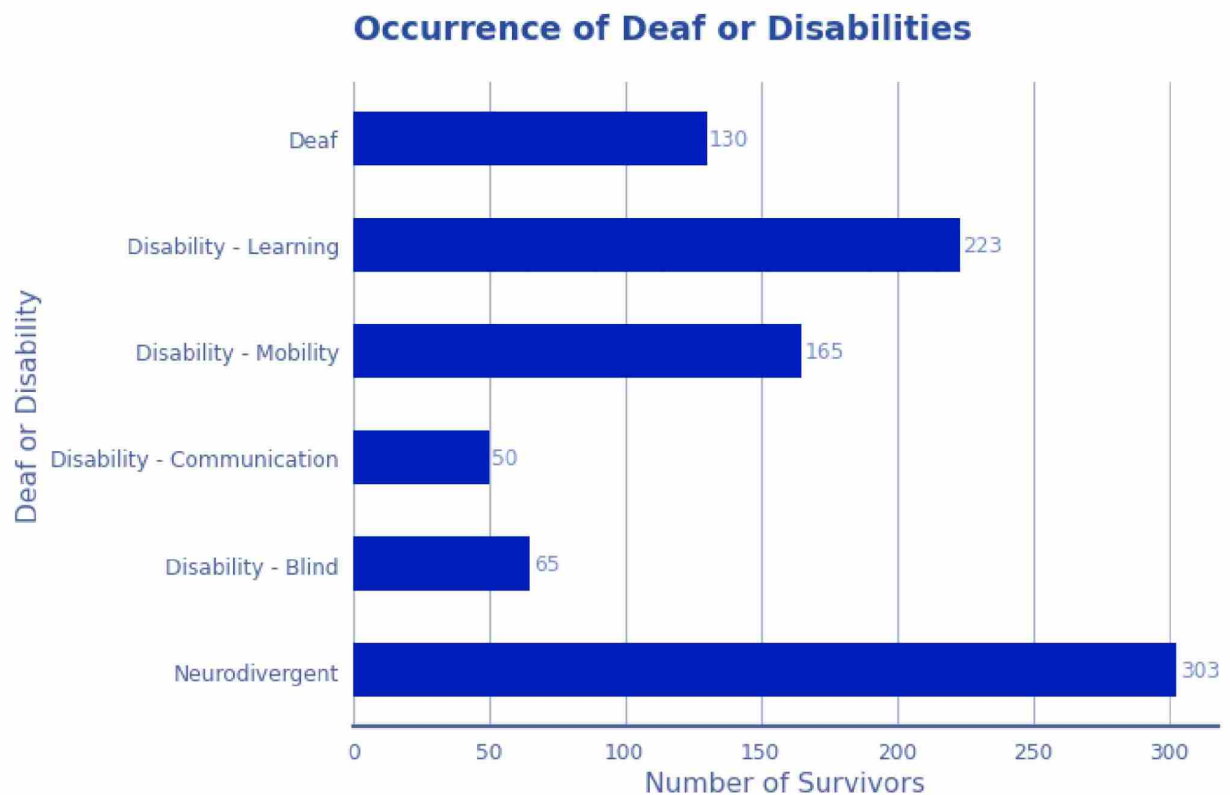


Figure 40: Occurrence of Deaf or Disabilities

8.10. Abuse Type by Deaf or Disability

Plotted on the graph below are the rates of abuse by survivors who are Deaf or living with a disability. The 95% confidence intervals are indicated by the error bars. While many of these disabilities will relate to the time after being in care, we can still draw conclusions relating to whether or not being subject to a specific abuse type results in some disability later on.

Due to the high overlap between 95% confidence intervals in the graph above, we conclude that there is no difference between survivors living with a disability or who are Deaf groups as to the abuse they suffered.

Frequency of Abuse by Deaf or Disability

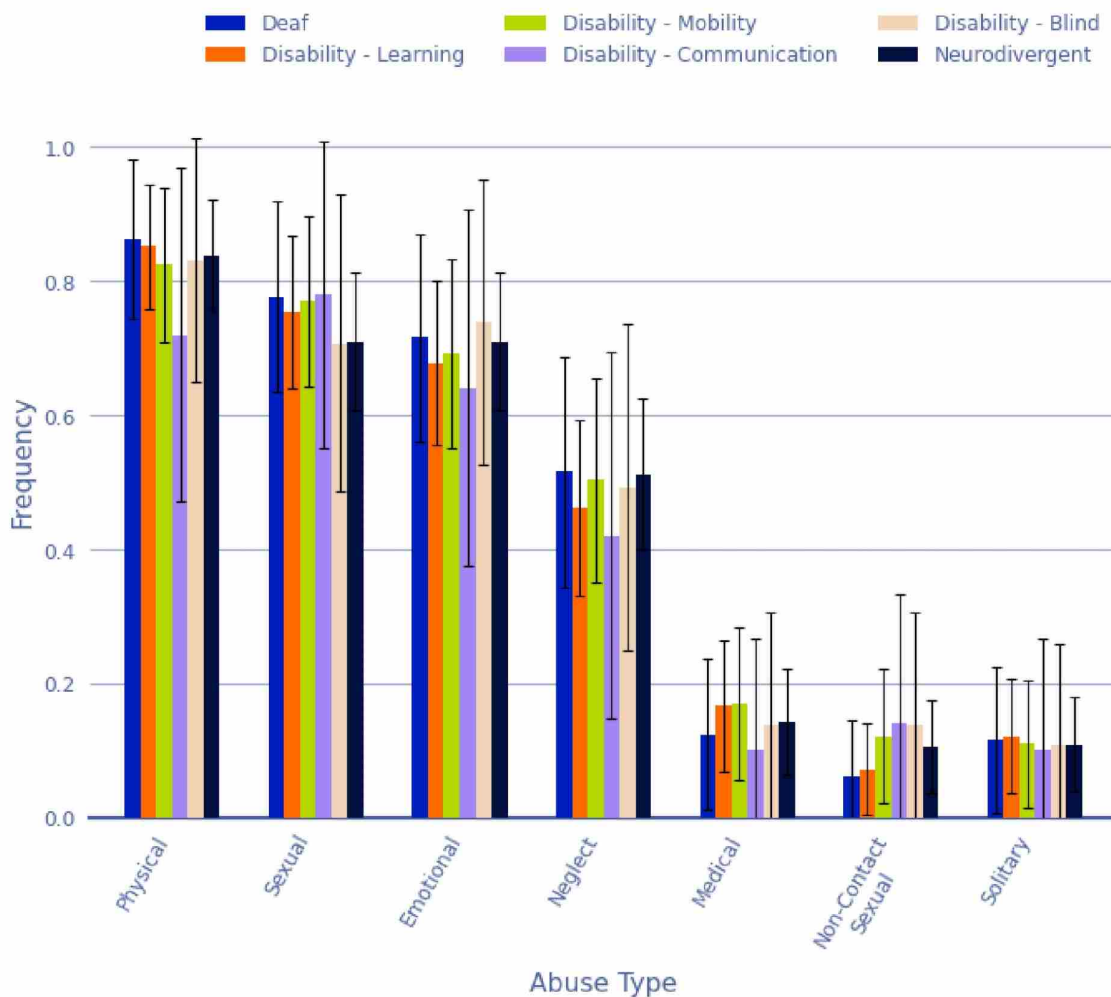


Figure 41: Frequency of Abuse by Deaf or Disability

8.10.1. Deaf or Disability Types by Setting Type

To determine if some setting types had higher levels of survivors living with a disability or who are Deaf, we analysed the prevalence of survivors living with a disability or who are Deaf at every institution. We have detailed the settings types with the highest ratio (based on setting types with more than 10 people). From this we found:

Highest ratio of Deaf

- 1.0 : Deaf Schools
- 0.11 : Education
- 0.078 : Health Camps

Highest ratio of Disability - Learning

- 0.19 : Disability and Mental Distress
- 0.16 : Education
- 0.14 : Health Camps

Highest ratio of Disability - Mobility

- 0.16 : Disability and Mental Distress
- 0.14 : Deaf Schools
- 0.11 : Other

Highest ratio of Disability - Communication

- 0.065 : Education
- 0.048 : Deaf Schools
- 0.04 : Other

Highest ratio of Disability - Blind

- 0.065 : Education
- 0.048 : Deaf Schools
- 0.046 : Other

Highest ratio of Neurodivergent

- 0.35 : Health Camps
- 0.21 : Transitional and Law enforcement
- 0.21 : Education

8.10.2. Deaf or Disability by Institution Type

Survivors who are Deaf or living with a disability are more widely represented in state institutions across all categories, except for blindness, which has a 3.3% prevalence in both state and faith-based institutions.

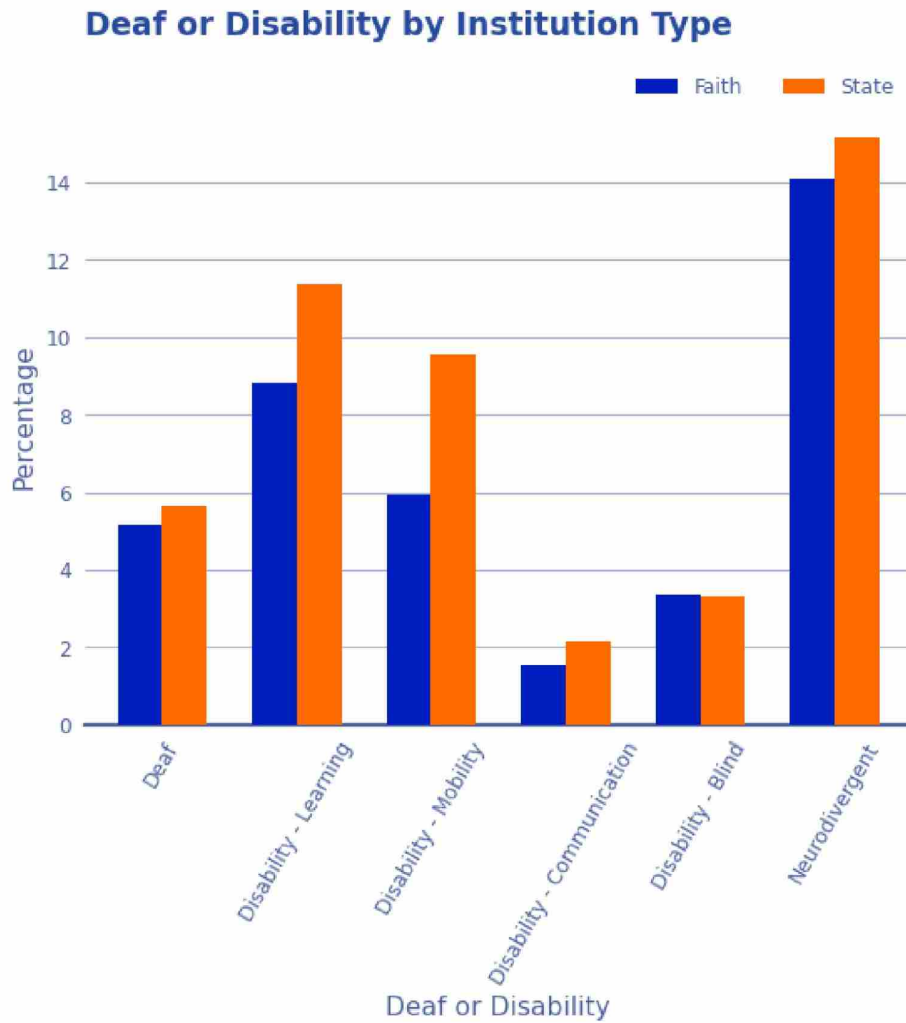
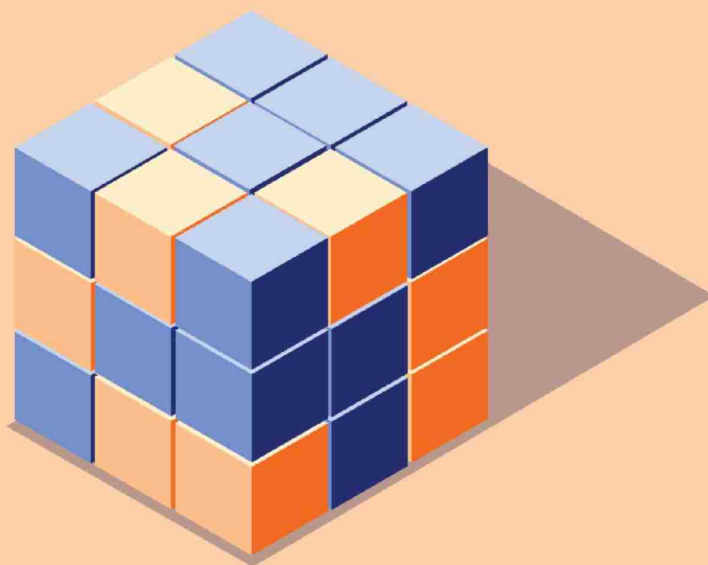


Figure 42: Deaf or Disability by Institution Type



Conclusion



9. Conclusion

In summary, the Abuse in Care Royal Commission of Inquiry has undertaken an exhaustive examination of the abuse reported by survivors of state and faith-based care systems in Aotearoa New Zealand between 1950 and 1999. Our quantitative analysis has augmented the Royal Commission's analysis by introducing an empirical dimension to the qualitative reporting.

Through a comprehensive investigation of 2,329 survivor narratives, our approach has detailed discernible trends and patterns within the broader tapestry of survivor experiences, including their pathway into care, the survivor care experience by setting, the abuse types suffered, and the lasting impacts on them as people.

Our analysis has confirmed the offending levels by time period, setting and demographic. The decade of the 1970s has emerged as a nexus of heightened abuse incidents, correlating with elevated institutional populations. The report outlines disparities in the occurrence of abuse types, particularly affecting pre-teens and teenagers, Māori, Pasifika, and survivors who live with a disability or who are Deaf.

Young survivors aged 10 - 14 endured extreme levels of sexual and physical abuse. Māori and Pasifika endured higher levels of physical abuse than other ethnicities and survivors with disabilities suffered higher levels of abuse across all abuse types. The analysis conclusively demonstrates that the age, ethnicity and disability level of survivors played a significant role in the abuse they were subjected to by caregivers.

The consequences of the abuse and neglect are also evident within the report, with 83% of survivors impacted by enduring mental distress. Moreover, the analysis showed that 29% of survivors were incarcerated at some point in their lives, and 10% have been involved in gang-related activities.

In conclusion, the data-driven analysis of the survivor accounts has confirmed the significant abuse and neglect reported by survivors as care-dependent individuals and makes clear the enduring sustained negative impacts the care experience has had on each survivor's life.

10. Appendix

10.1. Definitions of Variables

Variable	Definition of Variable
Age	Current age of survivor at time of registration.
Gender	What the person regards themselves as at time of registration.
Country	The country where the survivor currently resides.
Region	The region where the survivor currently resides.
Ethnicity	As many ethnicities the person identifies with.
LGBTQIA+	Yes if positively states - different terminology used - including lesbian, gay, bisexual, transgender, intersex, queer/questioning, asexual, non-binary, pansexual, grey, two spirit.
Iwi	All the iwi that the survivor identifies with.
Gangs	Being a patched or prospective gang member or having whānau in a gang.
Incarceration	If the survivor has mentioned that they have been incarcerated at any point in their lives.
Imputed or imputation	In statistics, imputation is the process of replacing missing data with substituted values. For our work we used a hot-deck imputation method where the missing value was randomly selected based on matching setting criteria. This is a common imputation method used to replicate values for missing data based on the existing population distribution.
Mental Distress	Someone who positively states that the survivor has been diagnosed with a mental health condition such as personality disorder, bipolar, depression, anxiety, paranoia, obsessive compulsive disorder. Also yes if they have an addiction (alcohol, drugs, gambling) or clearly are abusing substances. This is if this survivor has been diagnosed with any of the above at any point in their life.
Chronic health condition	Someone who positively stated that they have a chronic health condition (physical or psychological) such as diabetes, blood pressure, depression, anxiety, heart disease, arthritis. Regardless of if this is directly attributed to their time in care. this is if this survivor has been diagnosed with any of the above at any point in their life.
Deaf	Someone who positively states that they are Deaf or have sensory issues affecting their hearing, at any point in their life.

Disability-Communication	Someone who positively states that they have a communication and speech impairment at any point in their life such as stutter, impaired articulation, voice impairment or language impairment.
Disability - Blind	Someone who positively states that they are blind or have sensory issues affecting their sight at any point in their life.
Disability - Learning	Someone that identifies as a person with a learning disability at any point in their life. Some people that are neurodivergent may also have learning disabilities (but not always). Learning disability can affect people's: <ul style="list-style-type: none"> • Intellectual functioning (such as learning, problem solving, judgement) • Adaptive functioning (activities of daily life such as communication and independent living). In transcripts it may be referred to as intellectual disability, intellectually disabled, person with a learning disability, person with learning disabilities.
Disability - Mobility	Someone that positively states that they have a mobility impairment and/or physical disability such as cerebral palsy, hemiplegia, paraplegia, amputee, or degenerative illness e.g. stroke, Parkinson's disease, at any point in their life.
Neurodivergent	Broad range of neurological difference(s) or conditions, including Autism, Foetal Alcohol Spectrum Disorders, ADHD, dyslexia, dyscalculia, dyspraxia and dysgraphia. This could be at any point in their life.
Physical Abuse	Caregiver hitting child and instances where child has hit other child.
Emotional Abuse	Psychological, emotional, mental (include witnessing abuse).
Sexual Abuse	Non-consensual engagement in sexual activities or behaviours, involving physical, emotional, or psychological coercion, manipulation, or force, which causes harm to the victim.
Sexual Non-Contact Abuse	Non-contact sexual abuse refers to actions that do not involve direct physical contact, yet still involve coercion, manipulation, or force of a sexual nature, For example grooming or exposing someone to explicit content without their consent.
Medical Abuse	Where a survivor has stated overmedication, restraint, seclusion, treatment without consent, sterilisation, forced abortion, conversion therapy, aversion therapy or a misdiagnosis.
Neglect	Survivors not having the essential level of physical, emotional or other support, leading to potential harm and compromising the well-being of an individual.
Solitary	Where a survivor while in care has been isolated and confined to a small, often windowless cell for a significant portion of the day, with minimal human interaction and restricted sensory stimulation.

10.2. Definitions of Groupings within Variables

For groupings that have been merged or called 'other':

Grouping	Definition
Any Abuse	Survivors who have recorded one or more different types of abuse. The types of abuse included under this grouping is - Physical, emotional, sexual, non-contact sexual, medical, neglect and solitary.
Gender - Gender Diverse, non-binary or other	Survivor explicitly stated that their gender is "gender diverse, non-binary or other".
Ethnicity - Other	Survivor explicitly stated that they identify with an ethnicity other than Pākehā, Māori, Pasifika, Asian, or MELAA. In tables and graphs where no explicit values are shown for Asian and MELAA, 'Other' group combines the values for Asian, MELAA and Other ethnicities.

10.3. Iwi Classification

The iwi identified by survivors were grouped according to Stats NZ "Iwi and iwi-related groups statistical classification V2.1.0".

Iwi listed by Iwi Groups	Number of Survivors
Te Tai Tokerau/Tāmaki-makaurau (Northland/Auckland) Region Iwi	190
Ngāi Takoto, Ngāpuhi, Ngāti Hine, Ngāti Kahu, Ngāti Kahu ki Whangaroa, Ngāti Kurā, Ngāti Kuri, Ngāti Whātua, Ngātiwai, Te Aupāuri, Te Rarawa, Te Roroa	
Confederations and Waka, iwi not named	127
Tainui, Te Arawa	
Te Tai Rāwhiti (East Coast) Region Iwi	119
Ngāi Tāmanuhiri, Ngāti Porou, Rongowhakaata, Te Aitanga ā Māhaki	
Tauranga Moana/Mātaatua (Bay of Plenty) Region Iwi	114
Ngāi Te Rangī, Ngāti Awa, Ngāti Manawa, Ngāti Pūkenga, Ngāti Ranginui, Ngāti Tūwharetoa (Bay of Plenty), Te Whānau a Apanui, Tuhoe, Whakatōhea	

Te Matau-a-Māui/Wairarapa (Hawke's Bay/Wairarapa) Region iwi	93
Ngāti Hineuru, Ngāti Kahungunu, Ngāti Kahungunu ki Heretaunga Tamatea, Ngāti Kahungunu ki Te Wairoa, Ngāti Kahungunu ki Wairarapa - Tāmaki Nui ā Rua, Ngāti Pāhauwera, Ngāti Rongomaiwahine, Ngāti Ruapani mai Waikaremoana, Te Wairoa iwi and hapu	
Te Waipounamu (South Island) Region Iwi	85
Kāti Māmoe, Ngāi Tahu, Ngāti Apa ki te Rā Tā, Ngāti Rārua	
Waikato/Te Rohe Pōtae (Waikato/King Country) Region Iwi	70
Ngāti Hikairo, Ngāti Korokā Kahukura, Ngāti Maniapoto, Ngāti Te Wehi, Raukawa, Waikato	
Taranaki Region Iwi	37
Ngā Rauru Kātahi, Ngāruahine, Ngāti Maru (Taranaki), Ngāti Ruanui, Taranaki, Te Atiawa (Taranaki), Te Pakakohi	
Iwi named, region not known	35
Ngāti Apa, Ngāti Hauā, Ngāti Mutunga, Ngāti Tama, Ngāti Toa Rangatira, Rangitāne, Waitaha	
Manawatū/Horowhenua/Te Whanganui-a-Tara (Manawatū/Horowhenua/Wellington) Region Iwi	33
Muaūpoko, Ngāti Raukawa ki te Tonga, Te Atiawa (Wellington)	
Refused to Answer	28
Don't Know	29
Whanganui/Rangitīkei (Wanganui/Rangitīkei) Region Iwi	20
Ngāti Hauti, Ngāti Rangī, Te Ati Haunui-a-Pāpārangi, Te Korowai o Wainuiārua (Central Whanganui), Whanganui Iwi / Te Atihaunui a Pāpārangi, Whanganui Land Settlement (Lower Whanganui)	
Hauraki (Coromandel) Region Iwi	19
Ngāti Hako, Ngāti Maru (Hauraki), Ngāti Paoa, Ngāti Porou ki Harataunga ki Mataora, Ngāti Pūkenga ki Waiāu, Ngāti Tamaterā, Ngāti Whanaunga	
Te Arawa/Taupō (Rotorua/Taupō) Region Iwi	15
Ngāti Pikiao, Ngāti Rangitīhi, Ngāti Rangiwewehi, Ngāti Tahu / Ngāti Whaoa, Ngāti Whakaue, Tāhourangi	

Rēkohu/Wharekauri (Chatham Islands) Region Imi/Iwi	6
Moriori, Ngāti Mutunga o Wharekauri (Chatham Islands)	

10.4. Excluding Accounts Out of Scope

From the CRM extract, the survivors table contained a total of 4,264 accounts. For this analysis, only “survivors with accounts” were requested to be included by the Royal Commission and so only 2,329 survivors have been analysed. The excluded accounts are made up of: 1,336 direct survivors without accounts, 271 impacted survivors including witnesses of abuse, 321 individuals without survivor type and 7 duplicate accounts.

10.5. DOT’s Data Security and Storing

DOT takes privacy and security seriously, as we understand how paramount it is to ensure the safety and protection of survivor accounts. DOT employed a number of security measures to ensure this throughout this project and applied security principles to ensure privacy and security when data is in transition, at rest or actively used within DOT’s infrastructure.

Data security measures were implemented during the transition period to ensure the protection of sensitive information. Encrypted connections were used for the data flow between the Royal Commission and DOT, and a VPN was employed to enable remote access to the internal network for staff working remotely. Early Warning Systems were in place to actively scan ports, check web certificates, and identify breached email addresses. Additionally, a Meraki Firewall implemented white-listing and extensive logging to restrict access to authorised clients for on-premises services. Security Groups acted as a firewall within AWS, controlling inbound and outbound traffic for associated instances.

During active data usage, strict protocols were followed to maintain data security. Data was only accessible to designated principal and secondary investigators and was used solely for analysis purposes while respecting privacy and confidentiality. Microsoft Active Directory and Security Groups systems ensured controlled access to Windows-based file servers. Database servers relied on a role-based security model, and isolated docker environments were employed for each data store to minimise the risk of compromising multiple databases in the event of unauthorised access.

For data at rest, Advanced Encryption Standard (AES-256) encryption was applied at the point of ingestion. Secure wiping processes were implemented to remove client data after completion of specific tasks, and equipment decommissioning involved thorough data wiping to eliminate any residual traces. Throughout the data processing phase, anonymization techniques were utilised to further protect sensitive information.

10.6. Methodology

DOT's project methodology drew upon our expertise in natural language processing projects and comprised five key stages:

10.6.1. Data Loading and Preprocessing

We assessed data quality by analysing the distribution of missing information in Arahiko CRM data. Audio and non-textual files (e.g., .msg files) were excluded from further processing. We prototyped methods to handle missing information and estimated project costs and timelines. Regular meetings with the Royal Commission helped determine data field scope and priority, categorised as required or optional.

10.6.2. Text Processing and Tokenization

Individual documents were loaded from their source files and combined into a single dataset. Each word in the text was assigned a part-of-speech (e.g., noun, verb, adjective). Sentences were split based on part-of-speech tags, differentiating between self-reference and others' references. Sentences were used to populate the fields in our criteria.

10.6.3. Keyword Tagging

Collaborating with the Royal Commission, we created an appropriate synonym list. Sentences were categorised based on the presence of identified keywords.

10.6.4. Data Modelling

We employed the language model BERT to classify sentences into positive and negative observations, considering context and meaning. Models were trained for each field using manually labelled data from stage (3). Model performance was tested using three accuracy criteria:

- Accuracy: A measure of overall model correctness, calculated as the percentage of correct predictions out of all instances.
- Precision: The accuracy of positive predictions made by the model.
- Recall: Measures model sensitivity, calculating the proportion of correctly predicted positive instances out of all positive instances.

Priority was given to models with high precision, and poorly performing models were adjusted to reduce inaccuracies. The final models were applied to all tagged outputs from stage (3).

10.6.5. Prediction Review

We reviewed the model predictions, making necessary corrections. Depending on the required granularity, evidence was either grouped by RC number or reviewed on a sentence level. High numbers of false positives associated with the same keyword led to updates of synonym lists or model retraining.

DOT's overall methodology involved a systematic review of data quality followed by the application of NLP techniques to enhance the data. The approach included collaboration with the Royal Commission, regular feedback loops, and the use of deterministic and probabilistic methods for data extraction and deduplication. Transparency, auditability, and accuracy were emphasised throughout the process, and the resulting data was structured and suitable for subsequent analyses.

DOT then manually reviewed 100% of 26 out of 29 attributes and undertook a random sample of between 25-50% for the remaining four attributes. These were: Physical Abuse, Sexual Abuse, Emotional Abuse and Institution.

DOT informed AIC that, due to very tight timeframes, DOT was not able to manually check 100% of the positive cases for these four attributes. The agreed standard with AIC was a precision of 90% where precision is the true positive rate. This was achieved in the samples based on independent review by AIC.

10.6.6. Data Imputation

In the datasets containing information about abusive events and time spent in care, we encountered significant proportions of missing data. Specifically, in the time in care data, approximately 50% of survivors had missing start and finish age information from their time in an institution. Additionally, among the survivors for whom we had information on abusive events, 19% were missing start and end age details for those events. Finally, in 20% of cases, the decade during which the abuse occurred was not identified.

To address these gaps, we employed a hot deck imputation methodology. This approach entails selecting ages for survivors within a given setting by drawing from the age distribution of other survivors within the same setting. Subsequently, we applied a similar methodology to impute ages during which abuse took place. These imputation processes enabled us to estimate missing data points.

Coupling our imputed age data with respective survivor birth years, we calculated an estimation for the decade in which the abuse occurred.

10.7. Supplementary Tables

10.7.1. Survivors who have been incarcerated by Gang Associations

Gang Association	Survivors who have been incarcerated	Survivors who have never been incarcerated
Gang Member	145	84
Gang Whānau	50	54
No Association	488	1508
Total	683	1646

10.7.2. Survivor Count by Pathway into Care

Pathway into Care	Count	Percentage
Taken away by CYFS	172	7%
Parents' voluntary placement	91	4%
Faith-based school	33	1%
Psychiatric	28	1%
Not in full-time care	20	0.9%
Tragedy	15	0.6%
Disability	8	0.3%
Unwed pregnancy/Faith community	7	0.3%
Unidentified	1955	84%
Total (accounted for)	374	